

MODELING THE ELASTIC AND PLASTIC RESPONSE OF SINGLE  
CRYSTALS AND POLYCRYSTALLINE AGGREGATES

A Thesis

by

PARAG VILAS PATWARDHAN

Submitted to the Office of Graduate Studies of  
Texas A&M University  
in partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE

December 2003

Major Subject: Mechanical Engineering

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## ABSTRACT

### Modeling the Elastic and Plastic Response of Single Crystals and Polycrystalline Aggregates.

(December 2003)

Parag Vilas Patwardhan, B. Engg., Government College of Engineering, Pune, India

Chair of Advisory Committee: Dr. Arun Srinivasa

Understanding the elastic-plastic response of polycrystalline materials is an extremely difficult task. A polycrystalline material consists of a large number of crystals having different orientations. On its own, each crystal would deform in a specific manner. However, when it is part of a polycrystalline aggregate, the crystal has to ensure compatibility with the aggregate, which causes the response of the crystal to change. Knowing the response of a crystal enables us to view the change in orientation of the crystal when subjected to external macroscopic forces. This ability is useful in predicting the evolution of texture in a material. In addition, by predicting the response of a crystal that is part of a polycrystalline aggregate, we are able to determine the free energy of each crystal. This is useful in studying phenomena like grain growth and diffusion of atoms across high energy grain boundaries.

This thesis starts out by presenting an overview of the elastic and plastic response of single crystals. An attempt is made to incorporate a hardening law which can describe the hardening of slip systems for all FCC materials. The most commonly used theories for relating the response of single crystals to that of polycrystalline aggregates are the Taylor model and the Sachs model. A new theory is presented which attempts to encompass the Taylor as well as the Sachs model for polycrystalline materials. All of the above features are incorporated into the software program “Crystals”.

## ACKNOWLEDGEMENTS

First of all, I would like to thank Dr. Arun Srinivasa. I am greatly honored for having had an opportunity to work with him. Whenever I had problems in my research, he was there to patiently explain things to me. He constantly inspired and motivated me to achieve my academic goals. I am amazed by his knowledge and insight into various technical fields.

I would like to thank Dr. Ibrahim Karaman for serving on my thesis committee. His course in Fall 2002 went a long way in extending my understanding of the deformation of crystalline materials. I would also like to thank Dr. John Whitcomb for serving on my thesis committee. I am highly privileged to have him on my thesis committee.

And most importantly, I would like to thank my parents, Vilas and Meena Patwardhan, for everything they have done for me. Throughout my life, they have supported me in all of my endeavors. I could not have completed this journey without their unwavering support and encouragement. I cannot possibly forget all the sacrifices they have made over the years so that I could get this education. I would also like to thank my sister, Manjiri Patwardhan, for always cheering me up when I was depressed, as well as rejoicing with me in my times of happiness.

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# CHAPTER I

## INTRODUCTION

A crystal may be defined as a region of matter within which the atoms are arranged in a three-dimensional translationally periodic pattern (Buerger, 1956). A polycrystalline material consists of a large number of crystals. Each crystal within the solid has a certain orientation with respect to a fixed coordinate system, and exhibits anisotropy due to this orientation. A solid is considered to be anisotropic when its physical properties (like Modulus of Elasticity) are not identical in all directions. If the solid contains a large number of crystals which are randomly oriented, then the solid itself will be isotropic although each individual crystal exhibits anisotropy.

The texture of a solid is defined as the distribution of the orientation of individual crystals within the solid. It is becoming increasingly important to have the ability to predict the texture of a solid after we perform various machining operations on the solid. This will allow us to take advantage of the anisotropy in single crystals of the solid. We might want isotropic properties by having crystals which are oriented in all directions with an equal probability, or we may want to emphasize certain orientations for optimal use of the mechanical properties of the material.

In order to predict the texture of a material after undergoing a deformation process, we must first understand the mechanics behind the deformation of a single crystal. This knowledge can then be used to construct a model for the deformation of polycrystalline materials. In this dissertation, an overview of the deformation of single crystals is presented, along with a number of theories that attempt to link the deformation of single crystals to the deformation of polycrystalline materials. A new framework for simulating the response of single crystals as well as polycrystalline materials is set up.

This framework can be modified to further study the dynamics of polycrystalline materials.

### **Importance of predicting crystal response**

As mentioned earlier, “texture” is defined as the distribution of the orientations of various crystals in a polycrystalline aggregate. A material having a “strong” texture has a majority of the crystals having similar orientation. This gives rise to anisotropy within the material. On the other hand, a material having a “weak” texture has the crystals oriented in a much more “random” manner. We need to be able to predict the texture that a material will attain after certain forming processes are performed on it. This will enable us to create processes and materials that take advantage of the texture of the material. Some examples where the ability to predict the crystal response would be an asset are

1. In the case of a simple pinned-pinned column, for a homogenous material with fixed geometry, the critical buckling load is seen to be a linear function of the Young’s modulus of the material. For copper polycrystals, this modulus can vary from 66.7 GPa to 156.4 GPa (Mason and Maudlin, 1999). For designing a simple pinned-pinned copper column, it can be seen that a “Wire” texture, with a Young’s modulus of 156.4 GPa, would be preferred as it would be able to withstand a higher load.
2. In nanocrystalline materials, it has been shown that non-equilibrium grain boundaries in polycrystalline materials can form equilibrium grain boundaries by the process of diffusive flow of atoms towards the grain boundaries (Bachurin et al., 2003). The flow of atoms is governed by the difference in energy between two neighboring crystals, which in turn depends upon the stress in the crystal.
3. In polycrystalline materials subjected to arbitrary strains, a phenomenon known as grain growth takes place. During grain growth, grains with a lower energy grow at the expense of grains having a higher energy. The process takes place in such a way that the total energy of the polycrystalline material is lowered (Ono et al.,

1999). By successfully simulating the response of polycrystalline aggregates, it is possible to predict the grain growth within a polycrystalline material.

4. Shape Memory Alloys (SMAs) display a marked difference in the shape-memory effect in different directions (Yuan and Wang, 2002). By knowing the texture of a SMA such as CuZnAl after it has been processed (i.e., deformed plastically), we would be able to use the SMA optimally.
5. Fatigue failure in titanium alloyed turbine blades is a major problem. Historically, the approach has been to minimize the effects of texture by making the materials have a highly “random” texture. However, researchers are now looking into creating directionally processed titanium alloys, in which the best property directions are matched to the most critical loading conditions (Bache et al., 2001).
6. If metal sheets with preferred orientation (texture) are used in stamping circular cups, the sides of the cup are uneven, a phenomenon known as “earing” (Inal et al., 2000). This effect becomes particularly problematic during deep-drawing applications, and extra processing steps need to be designed to accommodate for the change in height of the material due to earing. In this case, the metal sheet needs to be manufactured in such a way that it has a texture which will minimize the effects of earing.
7. Researchers have determined that a Goss-type texture is desired in materials for transformer cores to reduce power losses during magnetization (Rollett et al., 2001).

In order to accurately predict the textures of polycrystalline materials, it is necessary to know

1. The elastic and plastic response of a single crystal.
2. A hardening law that describes the hardening of slip systems in the single crystal.
3. A polycrystalline deformation model that relates the deformation of single crystals to polycrystalline aggregates.

## **A brief history of crystal response theories**

Various theories have been proposed for describing the response of crystalline materials. These theories fall into two broad categories:

1. Theories that describe the response of single crystals subjected to arbitrary deformations.
2. Theories that relate the response of single crystals to the response of polycrystalline aggregates.

We shall consider these two categories in the following pages.

### **Deformation of single crystals**

If an arbitrary deformation is applied to a crystal, it accommodates this deformation by the process of shearing on crystallographic slip planes. This mechanism is known as slip. Any crystal has a small number of slip systems available for slipping. Consequently, the arbitrary deformation is accommodated by a combination of rigid rotation and slipping.

One method in common use involves treating the incremental deformation in a crystal as being the sum of two independent atomic mechanisms (Hill, 1966). On a macroscopic level, these mechanisms have the following effect: (i) An overall elastic distortion of the lattice and (ii) A plastic distortion of the crystal. It is important to note that the lattice geometry remains unchanged in during the plastic part of the deformation. Lee has presented a theory for describing the behavior of crystals to which an arbitrary strain is applied (Lee, 1969). Lee's paper describes the kinematics of crystal deformation for elastic-plastic deformations at finite strains.

### *Mechanism of slip*

The plastic deformation of metals takes place by two mechanisms: slip and twinning. Plastic deformation occurs primarily by sliding of atoms along certain preferred directions, known as slip directions. It has been proved that the simultaneous operation of at least five independent slip systems is required to maintain continuity at grain boundaries in a polycrystalline solid, if the deformations of individual grains are treated as homogenous (Taylor, 1938). In the absence of five independent slip systems, the deformation is accommodated by twinning (Hertzberg, 1976). The case where five independent slip systems are unavailable to the crystal occurs when the crystal is forced to undergo an extremely high deformation. In this thesis, we shall focus on deformation of materials due to slip.

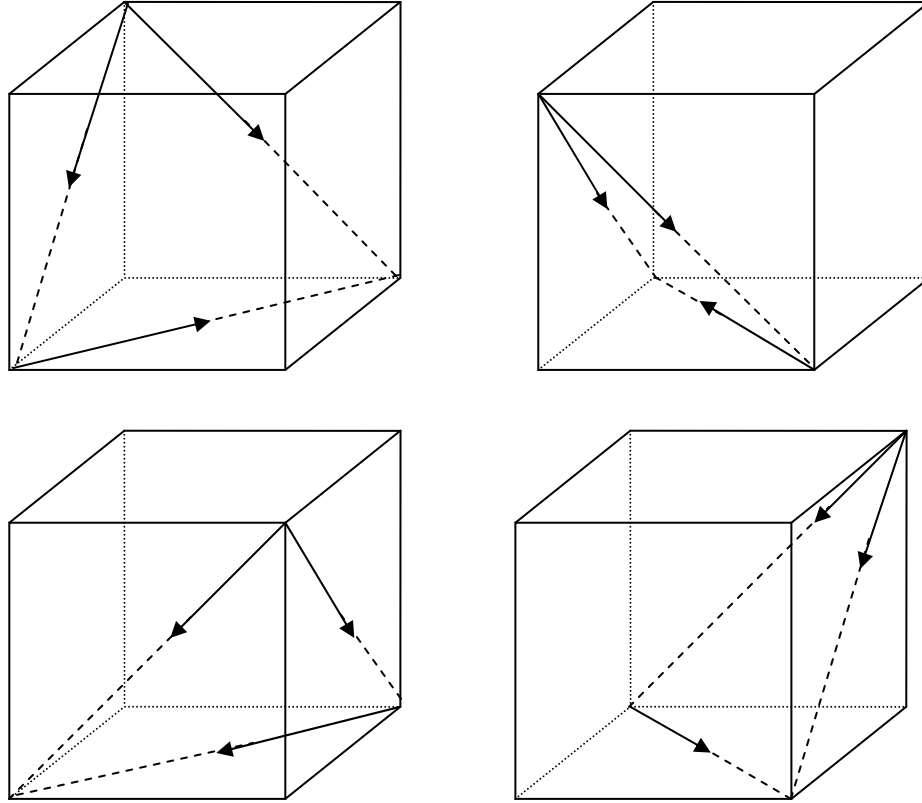
A slip system is defined by two factors:

1. The slip plane Normal – This is a normal to the plane that contains the slip direction.
2. The slip direction – This is the direction along which the material slips, if the slip system is activated.

The slip system parameters (slip plane Normal and direction) are determined by the atomic packing of the individual atoms within the material. Therefore, we find that the slip system parameters for Face Centered Cubic (FCC) materials are quite different than those for Body Centered Cubic (BCC) and Hexagonal Close Packed (HCP) materials. In our simulation, we shall mainly focus on FCC materials. However, the software, that will be described presently, is written in such a manner that the user can specify the number of slip systems as well as the slip system parameters.

Each slip system has a Critical Resolved Shear Stress (CRSS) associated with it. Any stress imposed on the crystal can be projected onto each slip system. For a slip system to be activated, the projected stress must exceed the CRSS on that slip system.

A FCC crystal has 12 slip systems denoted by  $\{111\} \langle 110 \rangle$ . There are four  $\{111\}$  slip planes. Each slip plane has three slip directions associated with it, denoted by  $\langle 110 \rangle$ . Figure 1 shows all of the 12 possible slip directions (Hertzberg, 1976).



**Figure 1:  $\{111\} \langle 110 \rangle$  Slip systems in a FCC crystal**

One of the first descriptions of the plastic deformation in single crystals was proposed by Taylor and Elam (Taylor and Elam, 1925). This was modified by Hill and Rice for finite rate-independent elastic-plastic deformations (Hill and Rice, 1972). The problem with selecting a rate-independent theory is the choosing the active slip systems at any given time instant (Maniatty et al., 1992). When only the deformation is prescribed, there can be more available slip systems than required to accommodate the deformation (which is equal to 5, as noted earlier).



Pan and Rice proved that for agreement with experimental results, it is necessary to assume a rate-dependent model (Pan and Rice, 1983). For example, a superimposed shear during compressive loading was found to produce an initially elastic response. This could be explained only if the slipping was assumed to be rate-dependent. In this rate-dependent model, the shearing rates are uniquely related to the stress state and the material state.

Canova et al. have also discussed the effect of rate sensitivity on slip system activity and lattice rotation (Canova et al., 1988). Most of the current models either (a) neglect the elastic response completely, or (b) replace the elastic deformation gradient with a rotation matrix which accounts for the rotation of the lattice, but does not consider the elastic deformation.

Peirce et al. are among the few researchers who have included the elastic part of the deformation gradient in their study on material rate dependence (Peirce et al., 1983). Sarma and Zacharia have presented a novel integration scheme which directly calculates the elastic deformation gradient of a crystal with respect to time (Sarma and Zacharia, 1999).

### *Hardening of slip systems*

When a slip system activates, it causes the dislocations to move along the slip direction. This causes a buildup of dislocations along that slip direction. This buildup gives rise to a phenomenon known as “work hardening”. The higher the deformation of the crystal, the more work is required to be done on it in order to cause further deformation of the crystal.

As the dislocations pileup along a slip system, a larger stress has to be applied in order to activate that slip system. In other words, the Critical Resolved Shear Stress

(CRSS) of that slip system increases. The CRSS is also referred to as the Hardness of the slip system in this dissertation.

An accurate hardening law is of crucial importance in predicting the response of a single crystal. As the future plastic deformation of the material is dependent upon the current CRSS on all slip systems, it is necessary to pay great attention to selecting the hardening law to be used.

Most of the models developed till date use a power law to describe the hardening of slip systems which was first described by Hutchinson (Hutchinson, 1976). This law assumes an equal hardening rate on all the slip systems. A quick glance at the mechanics of slip, however, will show that a more advanced formulation is needed which takes into account the different hardening rates on different slip systems.

Kocks and Mecking have pointed out that a modified Voce law appears to fit the hardening data for a large range of stress-strain values (Kocks and Mecking, 2003). The modified law requires the calculation of a scaling stress for each slip system at each time step. Mecking et al. have proposed a “universal” FCC hardening law (Mecking et al., 1986). This law manages to integrate the scaling-stress versus strain rate curves for FCC materials over a wide range of temperature into one master curve.

## Deformation of polycrystalline materials

There are a number of theories that attempt to relate the deformation of single crystals to the deformation of polycrystals. One of the first attempts was by Taylor, which assumed that the strain on any crystal within a polycrystalline material is the same as the strain on the polycrystalline aggregate (Taylor, 1938). This model has been found to give acceptable texture prediction for materials having high crystal symmetry and low rate sensitivity (the Taylor model is a rate-independent model). While this model ensures compatibility by prescribing an identical deformation on all crystals, the equilibrium

across grain boundaries is violated. The Sachs model (put forth in 1928), on the other hand, postulates that the stress on each crystal within a polycrystalline aggregate is the same. The Sachs model ensures equilibrium across grain boundaries, but in the process, it violates compatibility across grain boundaries.

Additionally, these theories consider each crystal within the aggregate as an independent entity which does not interact with neighboring grains. As pointed by Van Houtte et al., the Taylor hypothesis predicts textures in which the locations of final orientations can be “off” by  $10^0$ , and the volume fractions can be off by a factor of 2 (Van Houtte et al., 2002). To truly model the polycrystal response, it is necessary to consider either a 2-point model (which considers the interaction of the crystal with its immediate neighbor) or an n-point model (which considers the interaction of the crystal with “n” other crystals). In this dissertation, an attempt is made for an n-point model by taking the free energy of all crystals into account.

## **Research objectives**

The overall goal of this dissertation is to provide a framework for simulating the elastic and plastic response of single crystal and polycrystalline materials. With this in mind, the following objectives are set to be achieved:

1. Implementing the algorithm outlined by Sarma and Zacharia (Sarma and Zacharia, 1999) to calculate the elastic deformation gradient of a single crystal subjected to a prescribed velocity gradient. The plastic velocity gradient is also calculated and stored, which can be used to view the development of the plastic deformation gradient. The software should be written such that adapting it to BCC and HCP materials should be a simple case of changing the input files passed to the program. The user will be able to configure the following parameters:
  - a) Initial orientation of the crystal with respect to a fixed coordinate system.
  - b) Prescribed velocity gradient.

- c) Slip systems
  - d) Material properties
  - e) Initial Hardness of slip systems
2. Changing the algorithm mentioned above to account for a more sophisticated hardening law. The model proposed by Sarma and Zacharia assumes a simple law that assumes uniform hardening for all the slip systems (Sarma and Zacharia, 1999). The hardening law outlined by Kocks and Mecking, and by Mecking et al. allows us to calculate the hardening of each slip system uniquely, based on the history of shearing rates on each slip system (Kocks and Mecking, 2003; Mecking et al., 1986). The procedure for updating the Hardness of the slip systems with time is to be written such that it can be easily changed to easily accommodate future developments in the area of work hardening.
  3. Proposing a theory for polycrystalline deformation. As pointed out before, polycrystal models are more effective if the interaction between neighboring grains is considered. The proposed theory shall use the concept of “free energy” of the crystals and will take the energy of all crystals into account (creating, in effect, an “n-point” model, with  $n$  = the number of crystals in the polycrystalline aggregate).

### **Uniqueness of approach**

This approach is unique in sense that it integrates two theories into one module:

1. Simulation of the elastic-plastic response of single crystals and the application of this simulation to predicting the response of polycrystalline aggregates. The simulation takes into account both the elastic and plastic parts of the total deformation gradient of the crystal. The elastic part of the deformation gradient is calculated directly.

2. The existence of a “universal” hardening law for FCC materials. This law fits the experimental curves for FCC materials over a large range of temperatures. The law also takes into account the fact that each slip system in a crystal has a different hardening curve, which is based upon the history of shearing rates of that particular slip system.

Another important facet of this work is the proposal of a theory for the deformation of polycrystalline materials. This theory takes into account the free energies of each crystal in a polycrystalline aggregate. This causes the response of each crystal to be dependent upon its own state, as well as the states of all the other crystals in the polycrystal. The theory will be set up in a way as to allow control over the amount of compliance that each crystal exhibits with respect to the polycrystal, i.e., the deformation of each crystal can be made to match the deformation of the polycrystal, or each crystal can be allowed a certain amount of “freedom” in the deformation it undergoes in response to the applied strain.

## CHAPTER II

### CRYSTAL MODEL

This chapter deals with the model used for describing the behavior of a single crystal. An overview of polycrystalline models is also presented, the most prominent among them being the Taylor and Sachs models. First, it is necessary to cover some of the basic aspects of continuum mechanics.

#### Single crystal constitutive model

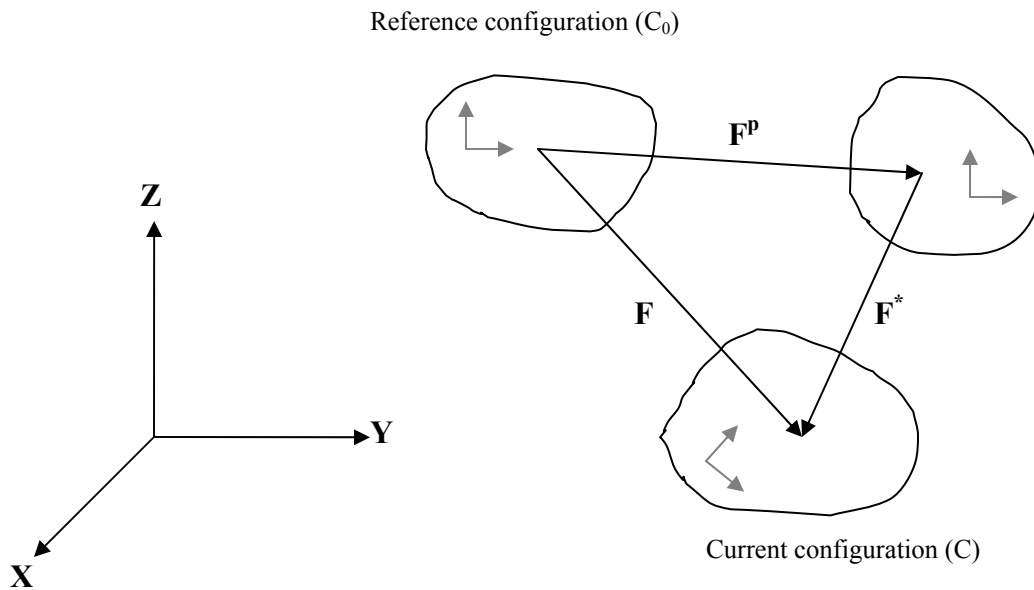


Figure 2: Kinematics of elastic-plastic deformation

This section describes the single crystal constitutive model used in detail. Naghdi and Srinivasa have presented a comprehensive theory that deals with the macroscopic deformation of solids (Naghdi and Srinivasa, 1993a; Naghdi and Srinivasa, 1993b).

Consider a crystal which deforms from its Reference configuration ( $C^0$ ) to its current configuration ( $C$ ) (Figure 2). Let the deformation gradient associated with this deformation be  $\mathbf{F}$ . Using the theory set forth by Lee (Lee, 1969) and others (Maniatty et al., 1992; Rice, 1971; Peirce et al., 1983), this deformation gradient can be broken down into a two components:

1. An elastic deformation gradient ( $\mathbf{F}^*$ ) – During the elastic deformation phase, the crystal also undergoes rigid rotation.
2. A plastic deformation gradient ( $\mathbf{F}^p$ ) – During this phase, the crystal deforms plastically due to shearing of the slip systems. This deformation is assumed to occur at constant volume, giving  $\det(\mathbf{F}^p) = 1$ .

Thus,

$$\mathbf{F} = \mathbf{F}^* \mathbf{F}^p \quad (2.1)$$

Following the model described by Sarma and Zacharia (Sarma and Zacharia, 1999),

We know that the velocity gradient ( $\mathbf{L}$ ) is related to the deformation gradient by:

$$\mathbf{L} = \dot{\mathbf{F}} \mathbf{F}^{-1} \quad (2.2)$$

Using equation (2.1), this yields

$$\mathbf{L} = \dot{\mathbf{F}}^* \mathbf{F}^{*-1} + \mathbf{F}^* \dot{\mathbf{F}}^p \mathbf{F}^{p-1} \mathbf{F}^{*-1} \quad (2.3)$$

The plastic deformation gradient ( $\mathbf{L}^p$ ) is defined as

$$\mathbf{L}^p = \dot{\mathbf{F}}^p \mathbf{F}^{p-1} = \sum_{\alpha} \dot{\gamma} \cdot (\mathbf{s}^{\alpha} \otimes \mathbf{m}^{\alpha}) \quad (2.4)$$

where,

$\dot{\gamma}$  = shearing rate on the  $\alpha^{\text{th}}$  slip system

$\mathbf{s}^{\alpha}$  = slip direction for the  $\alpha^{\text{th}}$  slip system

$\mathbf{m}^{\alpha}$  = slip plane normal for the  $\alpha^{\text{th}}$  slip system

The Green strain tensor ( $\mathbf{E}^*$ ) is calculated from the deformation gradient using:

$$\mathbf{E}^* = \frac{1}{2} (\mathbf{F}^{*T} \mathbf{F}^* - \mathbf{I}) \quad (2.5)$$

The second Piola-Kirchoff stress ( $\mathbf{T}^*$ ) is given by:

$$\mathbf{T}^* = [\mathbf{L}][\mathbf{E}^*] \quad (2.6)$$

where,

$[\mathbf{L}]$  = fourth – order elasticity tensor

The shearing rate is given by:

$$\dot{\gamma}^\alpha = \dot{\gamma}_0 \left| \frac{\tau^\alpha}{\hat{\tau}^\alpha} \right|^{\frac{1}{m}} \text{sign}(\tau^\alpha) \quad (2.7)$$

where,

$\dot{\gamma}_0$	=	initial (reference) shearing rate
$\tau^\alpha$	=	resolved shear stress along the $\alpha^{\text{th}}$ slip system
$\hat{\tau}^\alpha$	=	critical resolved shear stress for the $\alpha^{\text{th}}$ slip system
$m$	=	rate sensitivity parameter

The resolved shear stress on each slip system is given by:

$$\tau^\alpha = (\mathbf{T} \mathbf{m}^\alpha) \cdot \mathbf{s}^\alpha = \mathbf{T} \cdot (\mathbf{s}^\alpha \otimes \mathbf{m}^\alpha) = (\mathbf{C}^* \mathbf{T}^*) \cdot (\mathbf{s}^\alpha \otimes \mathbf{m}^\alpha) \quad (2.8)$$

where,

$\mathbf{C}^*$	=	$\mathbf{F}^{*T} \mathbf{F}^*$
$\mathbf{T}$	=	cauchy stress tensor, which is related to $\mathbf{T}^*$ by :
$\mathbf{T}^*$	=	$(\det \mathbf{F}^*) \mathbf{F}^{*-1} \mathbf{T} \mathbf{F}^{*-T}$

The algorithm for implementing these equations is outlined in the paper by Sarma and Zacharia (Sarma and Zacharia, 1999). It was also observed that keeping the Hardness of the slip systems constant for the duration of a time step does not affect the calculations



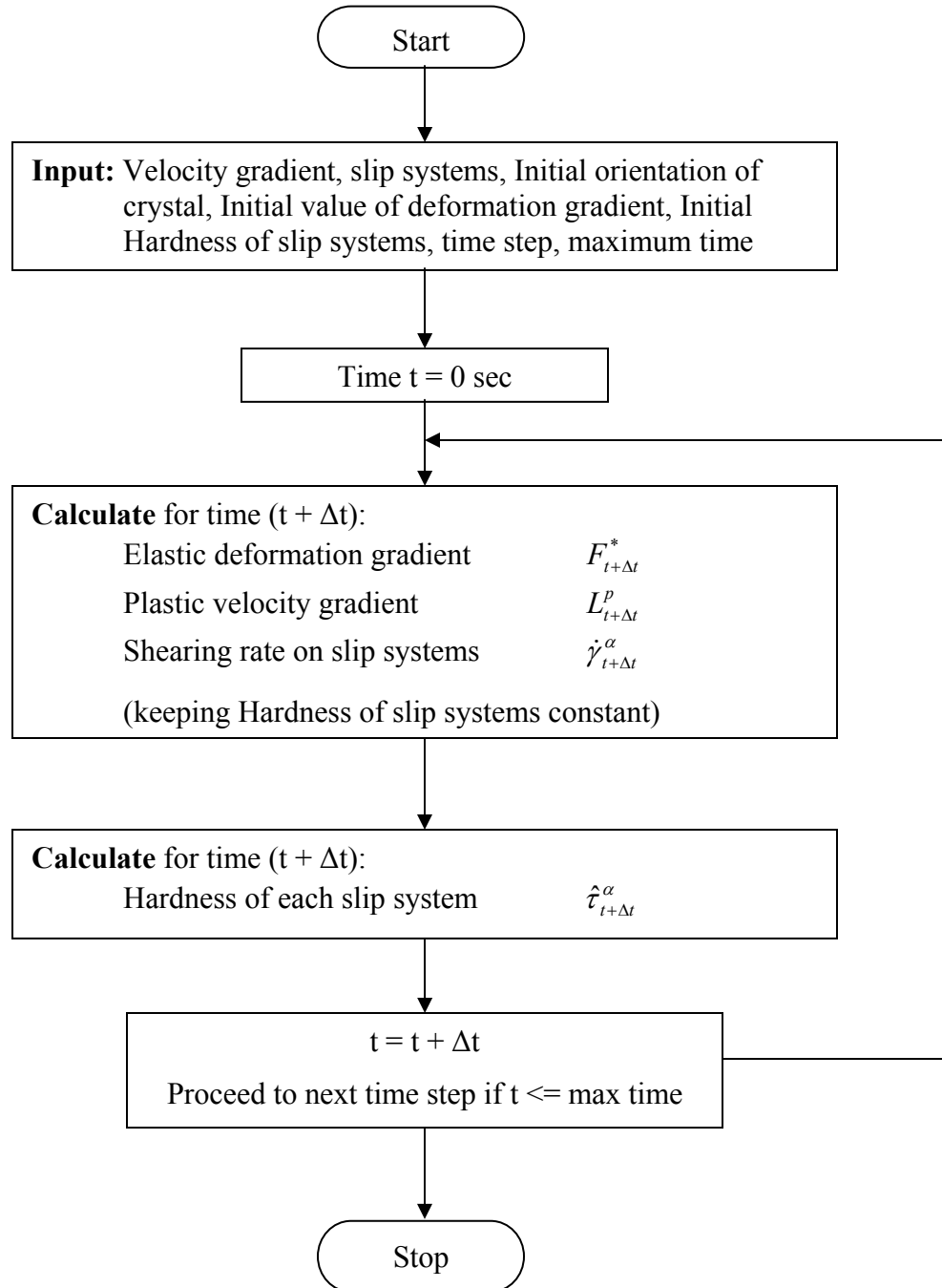
by a noticeable degree. This simplifies the calculations, since the Hardness of the slip systems has to be updated only at the end of each time step. The algorithm can be split into two separate modules:

1. Calculating the elastic and plastic deformation gradients for a time step.
2. Updating the Hardness of the slip systems at the end of that time step.

Thus, to incorporate a new hardening law, we simply have to change the module that updates the Hardness of the slip systems.

### **Algorithm**

The algorithm for calculating the elastic deformation gradient for a crystal subjected to an arbitrary deformation is described in detail by Sarma and Zacharia (Sarma and Zacharia, 1999). This section provides an overview of that algorithm. The equations to be used in calculating the elastic deformation gradient, the plastic velocity gradient and the shearing rates have been given in the preceding pages. This algorithm is outlined in Figure 3.



**Figure 3: Algorithm for calculating crystal response**

The algorithm for calculating the elastic deformation gradient is outlined in more detail in Figure 4 (note that the algorithm is for one single time step).

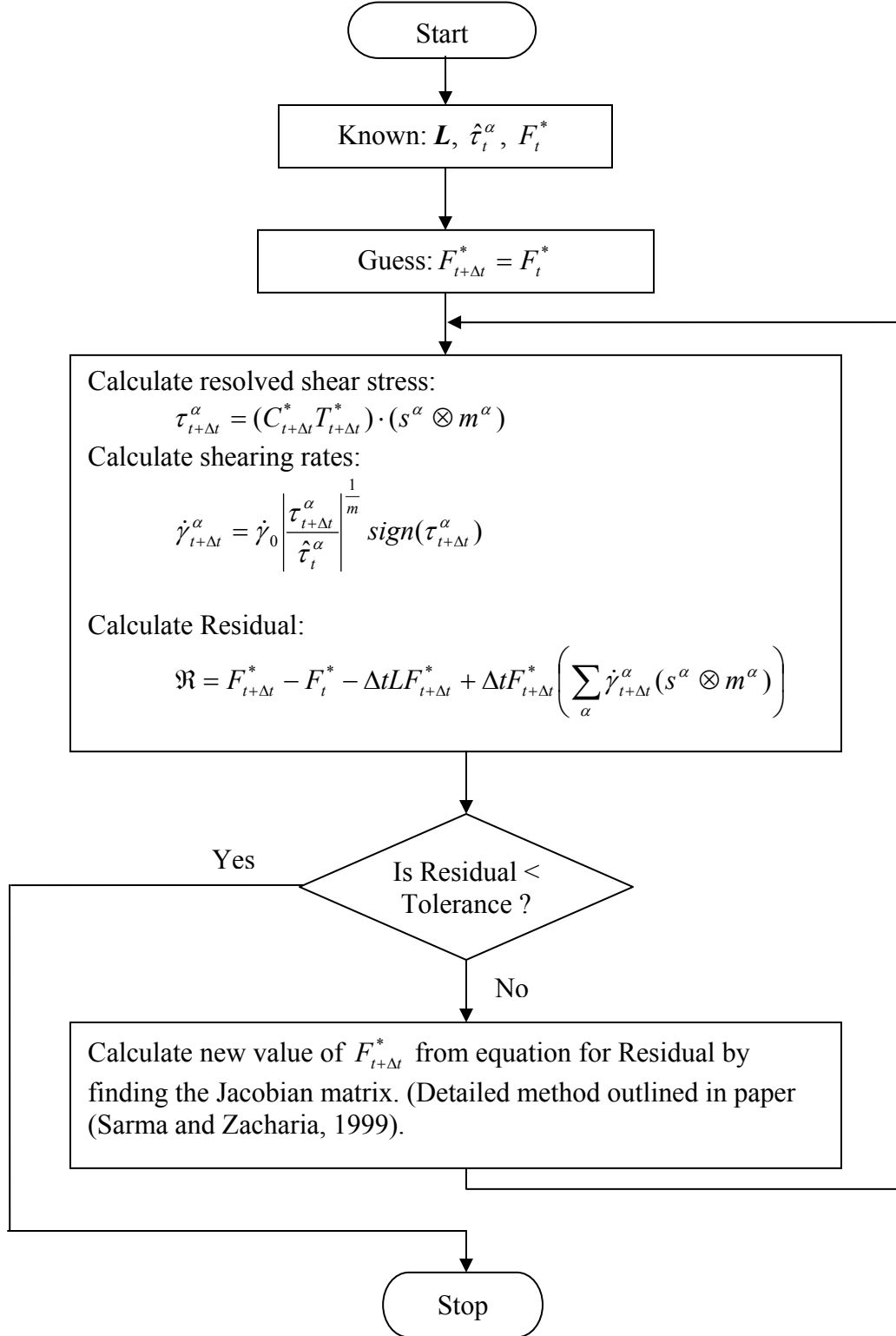


Figure 4: Algorithm for finding  $F^*$  for a single time step

### Hardening law for slip systems

In this section, a new hardening law for FCC materials is discussed. This hardening law accounts for different hardening rates on for each slip system in the material. The law has been found to fit the experimental data for a large number of FCC materials, over a wide range of temperatures.

The Critical Resolved Shear Stress (CRSS, also known as Hardness) of each slip system changes with time. Voce proposed a hardening law which takes the form:

$$\Theta = \Theta_0 \left( 1 - \frac{\sigma}{\sigma_v} \right) \quad (2.9)$$

where,

$$\begin{aligned} \Theta &= \text{net hardening rate} \\ \Theta_0 &= \text{constant} \\ \sigma &= \text{stress on crystal} \\ \sigma_v &= \text{scaling stress} \end{aligned}$$

As pointed out by Kocks and Mecking (Kocks and Mecking, 2003), the Voce law does not fit the stress-strain curves over the entire regime, but does give a reasonable fit over a significant range of  $\Theta/\Theta_0$ .

A modified hardening law suggested by Kocks and Mecking takes the form (Kocks and Mecking, 2003):

$$\Theta = \Theta_0 \left( 1 - \frac{\sigma}{\kappa \sigma_v} \right)^\kappa \quad (2.10)$$

where,

$$\begin{aligned} \Theta &= \text{net hardening rate} \\ \Theta_0 &= \text{constant} \\ \sigma &= \text{stress on crystal} \\ \sigma_v &= \text{scaling stress} \\ \kappa &= \text{empirical constant} \end{aligned}$$

It is necessary at this point to digress a little, and explain the nature of hardening laws. An important fact is that all hardening laws are theories, developed in order to attempt to explain the observed response of loaded polycrystalline materials. A theory that takes into account all the microscopic mechanisms (such as dislocations) would involve an extremely high number of parameters (and statistical methods since it would be impractical to measure each dislocation in a material). As a result, researchers attempt to develop theories such that the predicted stress-strain response of a material will closely match the experimental results.

Since the stresses and strains on individual crystals in a polycrystalline material cannot be directly measured, they must be approximated. The most common theory used is the Taylor model, which assumes that the strain on each crystal is the same as the strain on the polycrystalline material (Taylor, 1938).

In equations (2.9) and (2.10), the quantity  $\Theta = d\sigma / d\gamma$ . Here  $\sigma$  represents the stress on the polycrystal, and  $\gamma$  denotes the strain. These quantities can be measured experimentally. The corresponding single-crystal quantities, i.e.,  $\tau$  and  $\varepsilon$  cannot be measured experimentally. The assumption made at this point is that  $\Theta$  in the above equations can be replaced with its single-crystal counterpart, namely  $\theta = d\tau / d\varepsilon$ . Finally, note that the expression  $d\tau / d\varepsilon$  does not imply that  $\tau$  is a function of  $\varepsilon$  (even though it appears to be so due to the way it is written). The actual expression should be:  $\theta = \frac{d\tau / dt}{d\varepsilon / dt}$ .

Using our notation for variables in equation (2.10), the hardening law will take the form

$$\dot{\tau}^{\alpha} = \Theta_0 \left( 1 - \frac{\tau^{\alpha}}{\kappa \sigma_v} \right)^{\kappa} \cdot \dot{\gamma}^{\alpha} \quad (2.11)$$

where,

$\dot{\tau}^{\alpha}$	=	Time derivative of hardness of the $\alpha^{\text{th}}$ slip system
$\Theta_0$	=	constant
$\tau^{\alpha}$	=	resolved shear stress on the $\alpha^{\text{th}}$ slip system
$\kappa$	=	empirical constant = 1.3 (Kocks and Mecking, 2003)
$\sigma_v$	=	scaling stress
$\dot{\gamma}^{\alpha}$	=	shearing rate on $\alpha^{\text{th}}$ slip system

To apply the hardening law on our algorithm, let us consider each variable in turn:

- $\dot{\tau}^{\alpha}$  - This is the time derivative of the Hardness of the  $\alpha^{\text{th}}$  slip system. Once we find this quantity, we can update the Hardness of each slip system.
- $\Theta_0$  - This is a constant, and can be calculated from experimental results. The procedure for selecting  $\Theta_0$  is outlined by Mecking et al. (Mecking et al., 1986)
- $\tau^{\alpha}$  - This is the resolved shear stress on the  $\alpha^{\text{th}}$  slip system. This can be calculated from equation (2.8).
- $\kappa$  – empirical constant = 1.3 (Kocks and Mecking, 2003).
- $\sigma_v$  – scaling stress, to be determined.
- $\dot{\gamma}^{\alpha}$  - shearing rate on the  $\alpha^{\text{th}}$  slip system. This can be calculated from equation (2.7)

Thus, we can see that, for using the hardening law given in Equation (2.11), the only thing we need to determine is a suitable scaling stress ( $\sigma_v$ ) for each slip system at the end of each time step.

### Determining the scaling stress

In order to determine the scaling stress, consider Figure 5, which shows a graph by Mecking et al. (Mecking et al., 1986). The graph plots the normalized Saturation stress versus the normalized temperature for a variety of FCC materials. The Saturation stress is the theoretical maximum Hardness of the slip system at that instant. The variables  $\mu$ ,  $\tau_s$ ,  $k$ ,  $T$ , and  $b$  denote the shear modulus, saturation stress, Boltzmann constant, absolute temperature and the Burgers vector respectively. As pointed out by Mecking et al., the nature of the curves suggests that all the curves can be integrated into one master curve by a suitable scaling of both axes.

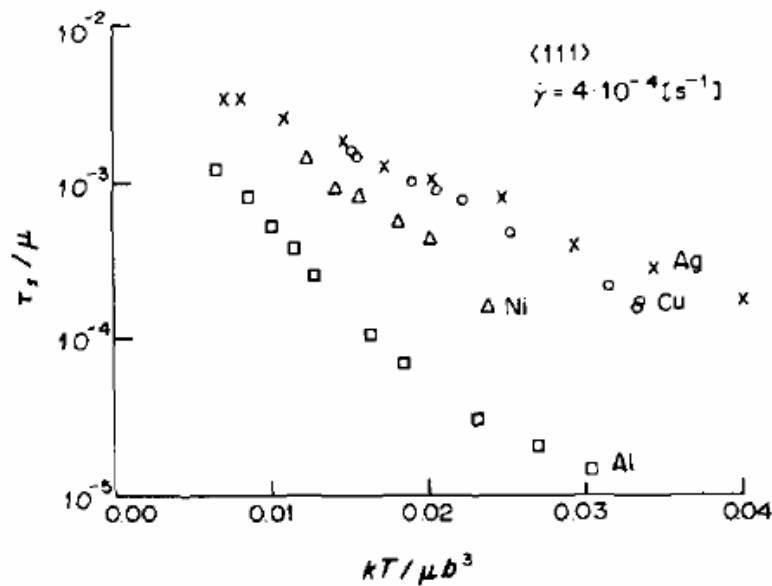


Figure 5: Arriving at the master hardening curve

The Y axis denotes the saturation stress normalized by the shear modulus of the material. Mecking et al. argued that the rearrangement of dislocations, which contributes to work hardening, may be described by a free enthalpy of activation. This free enthalpy of activation is related to the area of activation, which in turn depends upon the Burgers vector for that material. They proved that whenever the stress is scaled by the shear

modulus of the material, the temperature must be scaled by the quantity  $\mu b^3$ . The X axis in the above figure denotes the normalized temperature multiplied by the Boltzmann constant of the material.

Kocks and Mecking were able to combine these curves into a single straight-line by redefining the variables on the X and Y axes (Kocks and Mecking, 2003). These graphs are shown in Figure 6. The advantage of a straight-line graph is its inherent simplicity. The following graphs basically relate two parameters: The scaling stress ( $\sigma_v$ ) and the shearing rate (denoted in the graphs by  $\dot{\epsilon}$ , denoted in this dissertation by  $\dot{\gamma}$ ). The other variables in the graphs are: The shear modulus ( $\mu$ ), Boltzmann constant ( $k$ ), absolute temperature ( $T$ ), Buerger's vector ( $b$ ), reference shearing rate  $\dot{\epsilon}_0 = 10^7$  (determined empirically), and stacking fault energy  $\chi$ .

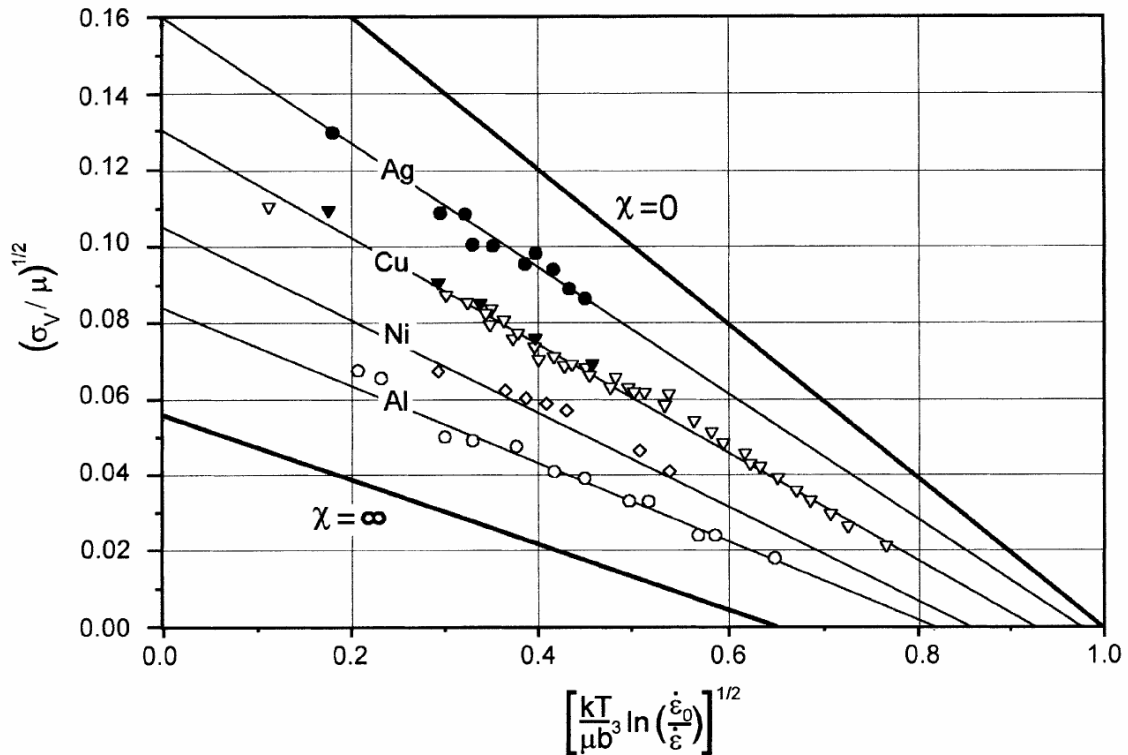


Figure 6: Arriving at the master hardening curve – Determining scaling stress for a given shearing rate



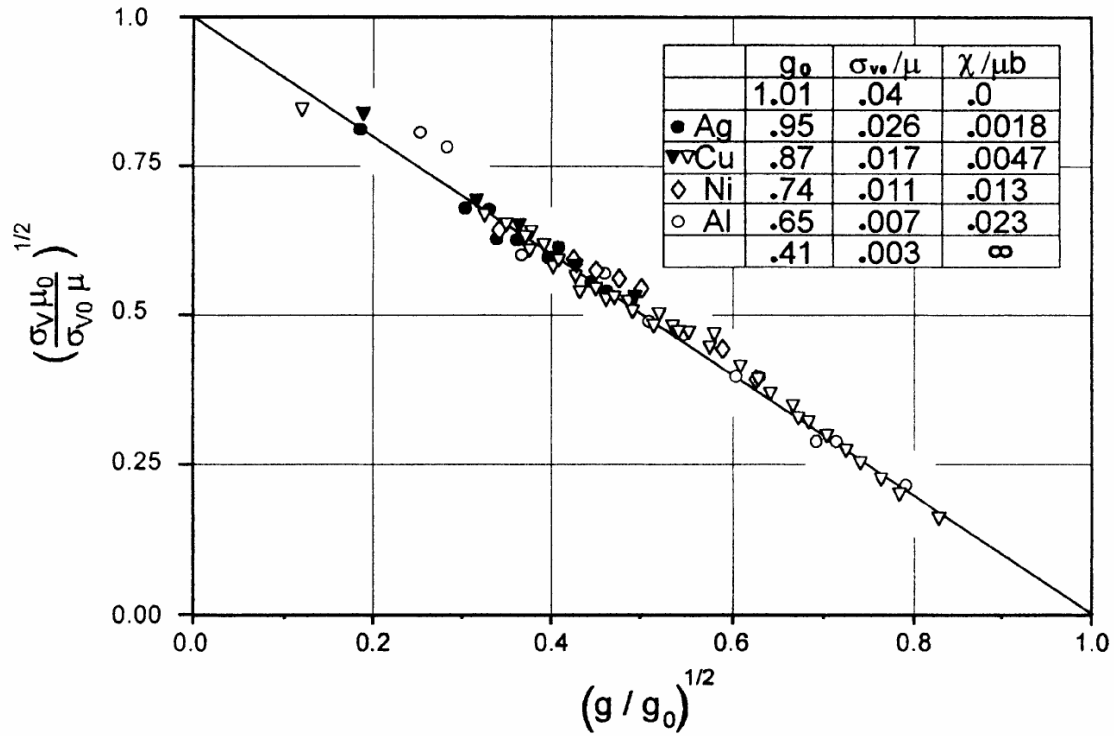


Figure 7: Master hardening curve: Same as Figure 6, with reduced coordinates

Note that the above two figures are identical. In Figure 7, the coordinates have been reduced by  $\sigma_{v0}/\mu_0$  and  $g_0$ . The values of  $g_0$  and  $\sigma_{v0}/\mu_0$  are given in Figure 7. The quantity “ $g$ ” is defined as

$$g = \frac{kT}{\mu b^3} \ln \left( \frac{\dot{\epsilon}_0}{\dot{\epsilon}} \right)$$

Consider Figure 7. The graph basically relates the scaling stress ( $\sigma_v$ ) to the shearing rate ( $\dot{\gamma}$ ). The other terms are:

1.  $\frac{kT}{\mu b^3}$  = constant for a given metal and temperature.
2.  $\dot{\epsilon}$  = Shearing rate =  $\dot{\gamma}$  in our notation.

3.  $\dot{\varepsilon}_0 = 10^7 \text{ s}^{-1}$  (Kocks and Mecking, 2003).

Thus, using the graphs from Figure 7, we can obtain a suitable scaling stress ( $\sigma_v$ ) for each time step. Once the scaling stress is obtained, it is a simple matter of plugging it into equation (2.11) to obtain the hardening rate.

To summarize, the following steps have to be followed at the end of each time step in order to update the Hardness of the slip systems according to the hardening law outlined above:

1. Determine the scaling stress ( $\sigma_v$ ) at the end of each time step from the graphs shown in
2. Figure 7. Each slip system will have a unique scaling stress based on its shearing rate.
3. Find the hardening rate for each slip system for that time step ( $\dot{\tau}^\alpha$ ) using Equation (2.11).
4. Update the Hardness of each slip system for that time step by using.

$$\hat{\tau}_{t+\Delta t}^\alpha = \hat{\tau}_t^\alpha + \Delta t \cdot \dot{\tau}_t^\alpha$$

## Deformation of polycrystalline aggregates

In this section, an attempt is made to arrive at a theory for relating the response of single crystals to polycrystalline materials. As stated before, there are currently two major theories in use: The Taylor hypothesis, which assumes the strain to be the same for all crystals, and the Sachs model, which assumes the stress of each crystal to be the same. The Taylor hypothesis works best when the crystals in a polycrystalline aggregate have orientations which are similar to each other. While this model ensures compatibility by prescribing an identical deformation on all crystals, the equilibrium across grain boundaries is violated. The Sachs model ensures equilibrium across grain boundaries, but in the process, it violates compatibility across grain boundaries. Other theories assume the polycrystal to be made up of a number of ellipsoids embedded in a homogenous matrix. By choosing the relationships between the matrix and the medium, different averaging schemes are obtained. Some examples of these are self-consistent models and the Mori-Tanaka model (Mori and Tanaka, 1973).

An attempt is made to arrive at a formulation wherein the relationship between the single crystal and polycrystal response can be adjusted to vary from a Taylor type model to a Sachs type model. This attempt treats each crystal as an individual entity without considering the interaction between neighboring crystals. This theory is presented as an example of how the single crystal solver can be used to try out various theories relating the single crystal response to polycrystal response.

The following conventions are used to distinguish single crystal variables from polycrystal variables:

1. Single crystal variables have a superscript of “(i)”, which indicates that the variable represents the  $i^{\text{th}}$  crystal. The superscript is appended before any existing superscripts (e.g. “\*” for indicating the elastic part of the deformation).

Examples:  $\mathbf{F}^{(i)}$ ,  $\mathbf{F}^{(i)*}$ ,  $\mathbf{F}^{(i)p}$ ,  $\mathbf{L}^{(i)}$

2. Polycrystal variables have the usual superscripts for denoting elastic and plastic parts.

Examples:  $\mathbf{F}$ ,  $\mathbf{F}^*$ ,  $\mathbf{F}^p$ ,  $\mathbf{L}$

Let  $\alpha^{(i)}$  denote the volume fraction of the  $i^{\text{th}}$  crystal in the polycrystalline aggregate. ( $\sum_i \alpha^{(i)} = 1$ ) We have:

$$\mathbf{F} = \sum_i \alpha^{(i)} \mathbf{F}^{(i)} \quad (2.12)$$

where,

$\mathbf{F}$  = overall deformation gradient of polycrystalline aggregate

$\mathbf{F}^{(i)}$  = overall deformation gradient of the  $i^{\text{th}}$  crystal

The above equation can also be written in the form

$$\sum_i \alpha^{(i)} [\mathbf{F} - \mathbf{F}^{(i)}] = 0 \quad (2.13)$$

We assume that the stored energy in the  $i^{\text{th}}$  crystal is given by the sum of

1.  $\Psi_1$  – The stored energy of deformation in the  $i^{\text{th}}$  crystal, and
2.  $\Psi_2$  – The energy due to the difference in the deformation between neighboring crystals.

$$\Psi^{(i)} = \Psi_1^{(i)} [\mathbf{F}^{(i)*}] + \Psi_2^{(i)} [\mathbf{F} - \mathbf{F}^{(i)}] \quad (2.14)$$

We assume that  $\Psi_2$  takes the form  $\frac{\mu}{2} [\mathbf{F} - \mathbf{F}^{(i)}] \cdot [\mathbf{F} - \mathbf{F}^{(i)}]$ . If a crystal has a deformation that is significantly different from the mean deformation of the polycrystalline aggregate, its interaction energy ( $\Psi_2$ ) will be higher.

Thus, equation (2.14) becomes

$$\Psi^{(i)} = \Psi_1^{(i)} [\mathbf{F}^{(i)*}] + \frac{\mu}{2} [\mathbf{F} - \mathbf{F}^{(i)}] \cdot [\mathbf{F} - \mathbf{F}^{(i)}] \quad (2.15)$$

Since the response of the crystal is dissipative, we know that the rate of dissipation is given by the difference between the stress power and the rate of increase of the stored energy. Let  $\mathbf{P}$  be the stress on the polycrystalline aggregate. We have

$$\mathbf{P} \cdot \dot{\mathbf{F}} - \left[ \sum_i \left[ \alpha^{(i)} \Psi_1^{(i)} [\mathbf{F}^{(i)*}] + \alpha^{(i)} \frac{\mu}{2} [\mathbf{F} - \mathbf{F}^{(i)}] \cdot [\mathbf{F} - \mathbf{F}^{(i)}] \right] \right] = \xi \quad (2.16)$$

From equation (2.1), we have

$$\begin{aligned} \mathbf{F}^{(i)} &= \mathbf{F}^{(i)*} \mathbf{F}^{(i)p} \\ \mathbf{F}^{(i)*} &= \mathbf{F}^{(i)} \mathbf{F}^{(i)p-1} \\ \dot{\mathbf{F}}^{(i)*} &= \dot{\mathbf{F}}^{(i)} \mathbf{F}^{(i)p-1} + \mathbf{F}^{(i)} \dot{\mathbf{F}}^{(i)p-1} \end{aligned}$$

Expanding equation (2.16), we get

$$\mathbf{P} \cdot \dot{\mathbf{F}} - \sum_i \left[ \alpha^{(i)} \frac{\partial \Psi_1^{(i)}}{\partial \mathbf{F}^{(i)*}} \cdot \dot{\mathbf{F}}^{(i)*} + \alpha^{(i)} \mu [\mathbf{F} - \mathbf{F}^{(i)}] \cdot [\dot{\mathbf{F}} - \dot{\mathbf{F}}^{(i)}] \right] = \xi \quad (2.17)$$

$$\mathbf{P} \cdot \dot{\mathbf{F}} - \sum_i \left[ \alpha^{(i)} \frac{\partial \Psi_1^{(i)}}{\partial \mathbf{F}^{(i)*}} \mathbf{F}^{(i)p-T} \cdot \dot{\mathbf{F}}^{(i)} + \alpha^{(i)} \mu [\mathbf{F} - \mathbf{F}^{(i)}] \cdot \dot{\mathbf{F}} - \alpha^{(i)} \mu [\mathbf{F} - \mathbf{F}^{(i)}] \cdot \dot{\mathbf{F}}^{(i)} \right] = \xi$$

Since the LHS of this equation depends upon  $\dot{\mathbf{F}}$ ,  $\dot{\mathbf{F}}^{(i)}$  and  $\dot{\mathbf{F}}^{(i)p}$ , and the RHS depends only upon  $\dot{\mathbf{F}}^{(i)p}$ , we can conclude that

1. The sum of all the terms involving  $\dot{\mathbf{F}}$  and  $\dot{\mathbf{F}}^{(i)} = 0$ .
2. The terms involving  $\dot{\mathbf{F}}^{(i)p}$  must be equal to the RHS.

Thus, we have

$$\left[ \mathbf{P} - \mu \sum_i \alpha^{(i)} [\mathbf{F} - \mathbf{F}^{(i)}] \right] \cdot \dot{\mathbf{F}} - \sum_i \left[ \alpha^{(i)} \frac{\partial \Psi_1^{(i)}}{\partial \mathbf{F}^{(i)*}} \mathbf{F}^{(i)p-T} - \mu \alpha^{(i)} [\mathbf{F} - \mathbf{F}^{(i)}] \right] \cdot \dot{\mathbf{F}}^{(i)} = 0$$

This has to be true for all values of  $\dot{\mathbf{F}}$  and  $\dot{\mathbf{F}}^{(i)}$  such that  $\sum_i \alpha^{(i)} (\dot{\mathbf{F}} - \dot{\mathbf{F}}^{(i)}) = 0$ .

This can be achieved by using a Lagrange multiplier  $\mathbf{A}$  and looking at the auxiliary equation

$$\mathbf{P} \cdot \dot{\mathbf{F}} - \left[ \sum_i \alpha^{(i)} \frac{\partial \Psi_1^{(i)}}{\partial \mathbf{F}^{(i)*}} \mathbf{F}^{(i)p^{-T}} - \mu \sum_i \alpha^{(i)} [\mathbf{F} - \mathbf{F}^{(i)}] \right] \cdot \dot{\mathbf{F}}^{(i)} - \sum_i \alpha^{(i)} \mathbf{A} \cdot (\dot{\mathbf{F}} - \dot{\mathbf{F}}^{(i)}) = 0 \quad (2.18)$$

Equation (2.18), being valid for all  $\dot{\mathbf{F}}$ ,  $\dot{\mathbf{F}}^{(i)}$  and  $\mathbf{A}$ , This immediately gives  $\mathbf{P} = \mathbf{A}$ .

Also,

$$\mathbf{P} \cdot \dot{\mathbf{F}} = \mathbf{P} \cdot \left( \sum_i \alpha^{(i)} \mathbf{F}^{(i)} \right) = \sum_i \left( \alpha^{(i)} \mathbf{P} \cdot \mathbf{F}^{(i)} \right) \quad (2.19)$$

We define a quantity  $\mathbf{A}^{(i)}$  such that:

$$\mathbf{A}^{(i)} = \mathbf{F}^{(i)*} \mathbf{T}^{(i)*} \mathbf{F}^{(i)p^{-T}} \quad (2.20)$$

Using equation (2.18), we have

$$\left( \sum_i \alpha^{(i)} \mathbf{P} - \sum_i \alpha^{(i)} \mathbf{A}^{(i)} + \sum_i \alpha^{(i)} \mu (\mathbf{F} - \mathbf{F}^{(i)}) \right) = 0 \quad (2.21)$$

which gives

$$\mathbf{P} = \sum_i \alpha^{(i)} \mathbf{A}^{(i)} \quad (2.22)$$

Finally, for the  $i^{\text{th}}$  crystal, we have

$$\mu [\mathbf{F} - \mathbf{F}^{(i)}] - \left[ \mathbf{A}^{(i)} - \sum_i \alpha^{(i)} \mathbf{A}^{(i)} \right] = 0 \quad (2.23)$$

The above two equations can be used to arrive at values of  $\mathbf{F}^{(i)}$  using the following algorithm for each timestep:

1. Assume  $\mathbf{L}^{(i)} = \mathbf{L}$ . This is the initial “guess” for the polycrystal solver.
2. Calculate  $\mathbf{F}^{(i)}$ ,  $\mathbf{F}^{(i)*}$ ,  $\mathbf{F}^{(i)p}$  and  $\mathbf{T}^{(i)*}$  using the single crystal solver.
3. Calculate  $\mathbf{A}^{(i)}$  from equation (2.20).

4. Calculate new values of  $\mathbf{F}^{(i)}$  from equation (2.23).
5. If successive values of  $\mathbf{F}^{(i)}$  get closer and closer, exit loop and proceed to next time step.
6. Calculate new value of  $\mathbf{L}^{(i)}$  using  $\mathbf{L}^{(i)} = \dot{\mathbf{F}}^{(i)} \mathbf{F}^{(i)-1}$ .
7. Return to step 2.

By varying the value of  $\mu$  in equation (2.23), we can vary the polycrystalline response of the material. For example, high values of  $\mu$  (of the order of  $50\text{E}6$ ) would cause the values of  $\mathbf{F}^{(i)}$  to be very close to the values for  $\mathbf{F}$  at all time steps. This would yield a response similar to that of the Taylor model. Smaller values of  $\mu$  (of the order of  $50\text{E}3$ ) would allow the crystals more flexibility in deformation, and would result in a response similar to that of the Sachs model. Using values of  $\mu$  that are between the above two values would yield an “intermediate” response.

## CHAPTER III

### USING THE PROGRAM “CRYSTALS”

“Crystals” is an interactive program that enables the user to simulate the loading of a single crystal or a polycrystalline material. Each simulation is described by a “project”. There are two basic types of projects – Single crystal projects, and Polycrystal projects. Each project consists of a set of files, namely:

1. A Project file (having an extension of “.proj”)
2. Input files (having an extension of “.in”)
3. Output files (having an extension of “.out”). Output files are generated by the software.

#### **File formats for project and input files**

The program has a Graphical User Interface, which can be used to enter various parameters. In order to use the interface, it is first essential to have an understanding of the formats of project and input files. The following sections describe these file formats.

All project and input files are to be supplied in plain ASCII format. The specific format of each type of file is described in the following pages. Text that is enclosed within “< >” stands for a variable. For example, <type of project> on a line means that the user should enter the “type of project” on that line, which can be: 0 for a Single crystal project, or 1 for a Polycrystal project. All other text is to be entered in the file as it is.



The format for project files (.proj) is given in Table 1.

**Table 1: Format of Project file (.proj)**

Line Number	Data contained on line
1	*****
2	** **
3	** Project file for program: Crystals **
4	** **
5	*****
6	---- Type of project: 0 for single crystal, 1 for Polycrystal
7	<type of project>
8	---- Number of Crystals in Polycrystal
9	<number of crystals>
10	---- Name of Polycrystal input file (stored in the /data directory, extension ".in")
11	<name of polycrystal input file>
12	---- Prefix for single crystal input files
13	<prefix for single crystal input files>
14	---- Full path of data directory
15	<leave this line blank>
16	---- Volume Fractions of polycrystals
17	<volume fraction of crystal 1>
18	<volume fraction of crystal 2>
19	<volume fraction of crystal 3>
20	<volume fraction of crystal 4>
21	<volume fraction of crystal 5>
22	<volume fraction of crystal 6>
23	<volume fraction of crystal ...>
24	<volume fraction of crystal n>
25	---- Adjustable parameter for energy, MU
26	<energy parameter MU>

Table 2 shows a sample Project file for a Polycrystal project having:

1. 10 crystals.
2. Polycrystal Input file named "polycrystal.in"
3. Prefix for single crystal input files "default". Read section on input files for complete naming convention for single input files.

4. Volume fraction of each crystal = 0.1. Note that the sum of the volume fractions has to be 1.0.
5. Energy parameter MU = 10000

**Table 2: Sample Project file**

Line Number	Data contained on line
1	*****
2	**
3	** Project file for program: Crystals **
4	**
5	*****
6	---- Type of project: 0 for single crystal, 1 for Polycrystal
7	1
8	---- Number of Crystals in Polycrystal
9	10
10	---- Name of Polycrystal input file (stored in the /data directory)
11	Polycrystal.in
12	---- Prefix for single crystal input files
13	Default
14	---- Full path of data directory
15	
16	---- Volume Fractions of polycrystals
17	0.1
18	0.1
19	0.1
20	0.1
21	0.1
22	0.1
23	0.1
24	0.1
25	0.1
26	0.1
27	---- Adjustable parameter for energy, MU
28	10000

The file format for input files (.in) is given in Table 3.

**Table 3: Format of input files**

Line Number	Data contained on line
1	-----
2	--- Input File for single crystal ---
3	-----
4	--- L, the Velocity Gradient
5	<velocity gradient, matrix>
6	--- euler angles (degrees)
7	<euler angle 1>
8	<euler angle 2>
9	<euler angle 3>
10	--- no of slip systems
11	<number of slip systems>
12	--- slip system normals
13	<slip system normal 1, row matrix>
14	<slip system normal 2, row matrix>
15	<slip system normal ..., row matrix>
16	<slip system normal n, row matrix>
17	--- slip system directions
18	<slip system direction 1, column matrix>
19	<slip system direction 2, column matrix>
20	<slip system direction ..., column matrix>
21	<slip system direction n, column matrix>
22	--- Initial Value of Elastic deformation gradient
23	<initial value of Elastic deformation gradient, matrix>
24	--- Hardness Matrix
25	<Hardness, matrix>
26	--- timestep
27	<timestep to be used, seconds>
28	--- max time
29	<maximum time, sec>

Table 4 shows a sample Input file for a crystal with

- 1 Velocity gradient [1.0 0 0; 0 -0.5 0; 0 0 -0.5;]
- 2 Default initial orientation
- 3 12 slip systems, FCC material.
- 4 Initial value of elastic deformation gradient : [1 0 0; 0 1 0; 0 0 1;]
- 5 Timestep = 0.05 sec, Max time = 1.0 sec

**Table 4: Sample Input file**

Line Number	Data contained on line
1	-----
2	--- Input File for single crystal ---
3	-----
4	--- L, the Velocity Gradient
5	[1.0 0 0; 0 -0.5 0; 0 0 -0.5;]
6	--- euler angles (degrees)
7	0
8	0
9	0
10	--- no of slip systems
11	12
12	--- slip system normals
13	[1 1 1;]
14	[1 1 1;]
15	[1 1 1;]
16	[-1 1 1;]
17	[-1 1 1;]
18	[-1 1 1;]
19	[-1 -1 1;]
20	[-1 -1 1;]
21	[-1 -1 1;]
22	[1 -1 1;]
23	[1 -1 1;]
24	[1 -1 1;]
25	--- slip system directions
26	[1; 0; -1;]
27	[0; 1; -1;]
28	[-1; 1; 0;]
29	[0; 1; -1;]
30	[-1; 0; -1;]
31	[-1; -1; 0;]
32	[0; -1; -1;]
33	[-1; 0; -1;]
34	[1; -1; 0;]
35	[0; -1; -1;]
36	[1; 0; -1;]
37	[1; 1; 0;]
38	--- Initial Value of Elastic deformation gradient
39	[1 0 0; 0 1 0; 0 0 1;]
40	--- Hardness Matrix
41	[27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17;]
42	--- timestep
43	0.05
44	--- max time
45	1.0

The output files generated by the program are in plain ASCII format, and can be imported into other programs for analysis if needed.

The concepts of “Initial Orientation” and “Specifying Matrices” will be discussed later on in this chapter.

### **Naming conventions for files in Polycrystal projects**

The standard naming conventions for files are:

- 1 Project files - .proj
- 2 Input files - .in
- 3 Output files - .out

In case of Polycrystal projects, we must have

1. A Project file
2. A polycrystal Input file.
3. Initial input files for each of the single crystals that make up the polycrystal.

The initial input files for each of the single crystals should follow the following convention: **<single-crystal-file-prefix>-<crystal number>--1.in**

For example, a project having <single-crystal-file-prefix> as “default” and having 10 crystals will have the following single-crystal input files:

default-0--1.in  
 default-1--1.in  
 default-2--1.in  
 default-3--1.in  
 default-4--1.in  
 default-5--1.in  
 default-6--1.in  
 default-7--1.in  
 default-8--1.in  
 default-9--1.in

The files generated by the program have the following convention:

Input files: <single-crystal-file-prefix>-<crystal number>-<timestep>.in

Output files: <single-crystal-file-prefix>-<crystal number>-<timestep>.out

For example, the program will generate the following files for crystal number 5, timestep 3. (Note that both crystal number and timestep are zero-based)

default-5-3.in

default-5-3.out

### **Specifying matrices in input files**

The program makes extensive use of matrices during the course of calculations. Many parameters have to be specified as matrices. For example, the initial value of the elastic deformation gradient is a 3x3 matrix. Slip System normals are specified as vectors (row matrices) and Slip System directions are specified as vectors (column matrices).

Matrices can be specified by using initialization strings which are similar to the initialization strings used by MATLAB. The program does not perform any error-checking on the initialization string, and hence it is necessary to follow these rules strictly:

1. The first character of the initialization string must be "[". (without the quotes).
2. Elements on a row are separated by a space. There must be exactly ONE space between two elements.
3. The end of a row is indicated by the ";" character. The ";" character must be followed by exactly one space. The trailing space may be omitted if it is the last row of the matrix.
4. The last character of the initialization string must be "]".

Here are some sample matrices and their initialization strings:

1. Identity matrix –  $[1 \ 0 \ 0; \ 0 \ 1 \ 0; \ 0 \ 0 \ 1;]$
2. A 2 x 4 matrix –  $[1 \ 6 \ 2 \ 6; \ 4 \ 3 \ 3 \ 0;]$
3. A row matrix –  $[1 \ -1 \ 1;]$
4. A column matrix –  $[1; \ -1; \ 1;]$
5. Matrix with elements specified using scientific notation –  
 $[1e34 \ -5e-30 \ 5.4544;]$

### **Specifying the initial orientation of a crystal**

Every crystal can have a different orientation with respect to a fixed reference frame. This orientation can be specified in the Input file with the help of three Euler Angles.

Consider the material to have a fixed reference frame, denoted by the axes X, Y and Z. Let the coordinate axes of the crystal be denoted by x, y and z. A crystal can be transformed from the reference coordinate system (X, Y, Z) to any arbitrarily oriented system (x,y,z) using three rotations. These rotations are known as Euler angles, and these can be entered into the input files to describe how the crystal is oriented with respect to the material.

Figure 8 -Figure 10 demonstrate how three rotations can transform axes (X,Y,Z) into axes (x,y,z).

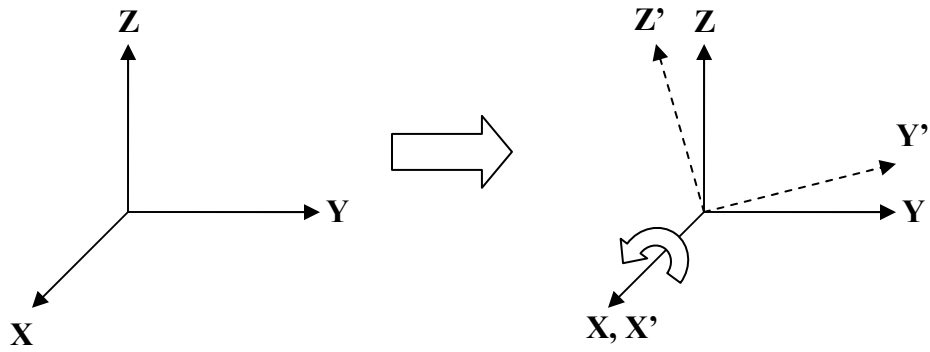


Figure 8: Euler angle 1 - Rotation about X axis by angle  $\theta_1$

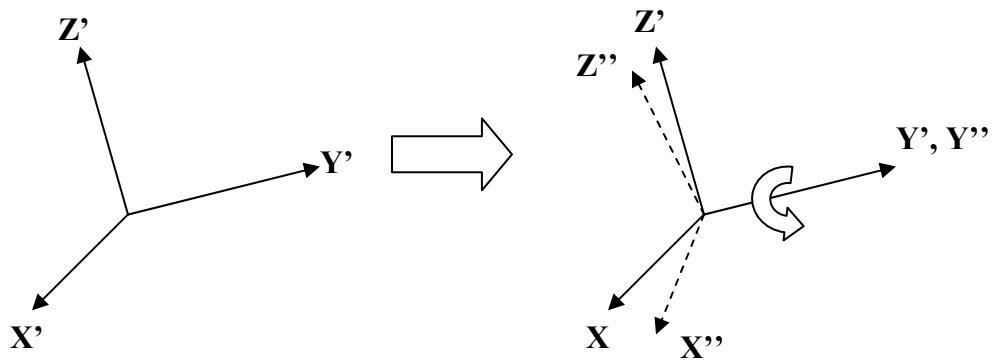


Figure 9: Euler angle 2 - Rotation about  $Y'$  axis by angle  $\theta_2$

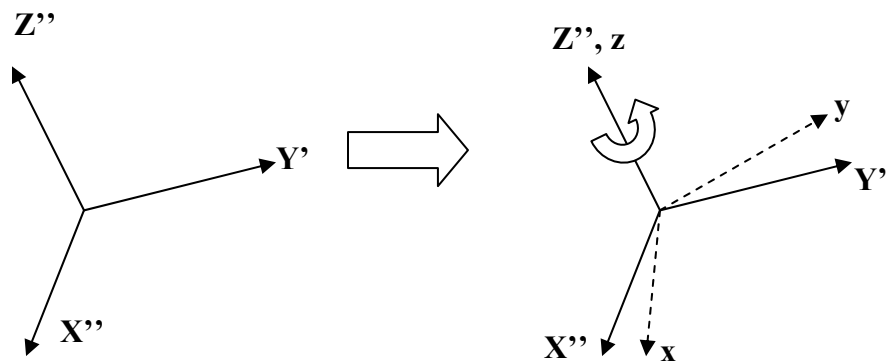


Figure 10: Euler angle 3 - Rotation about  $Z''$  axis by angle  $\theta_3$



Thus, to specify an initial orientation of the crystal, we need to find the three Euler angles which will transform the fixed coordinate system into the crystal coordinate system. These three angles are to be entered in the Input file,

Some simple examples of Euler angles are given in Figure 11 and Figure 12:

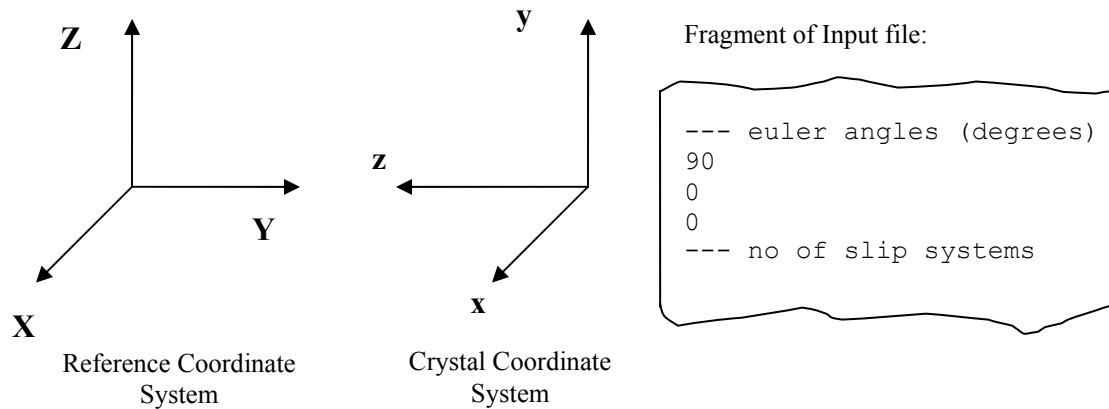


Figure 11: Input file Euler angles – I

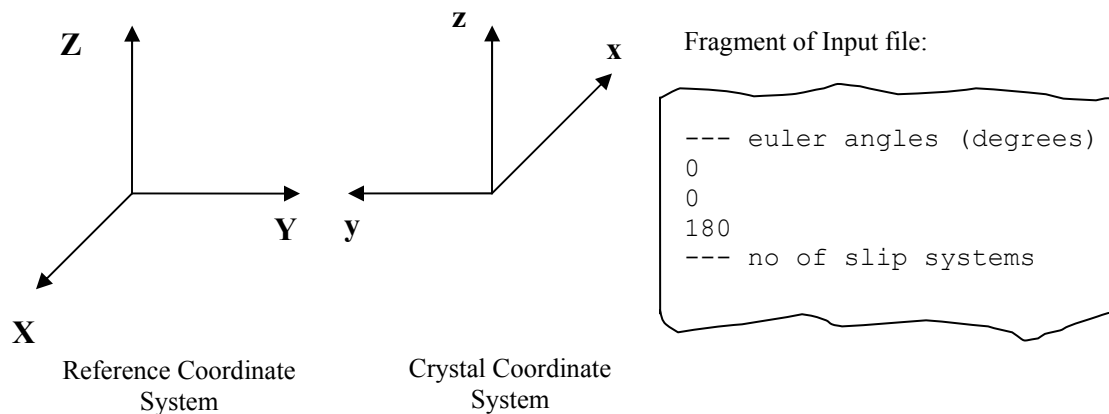


Figure 12: Input file Euler angles – II

## The Graphical User Interface

The program is provided with a Graphical User Interface (GUI) that makes it extremely simple to use. The results are displayed in the form of graphs on the screen. This section describes the GUI in detail.

### The Main screen

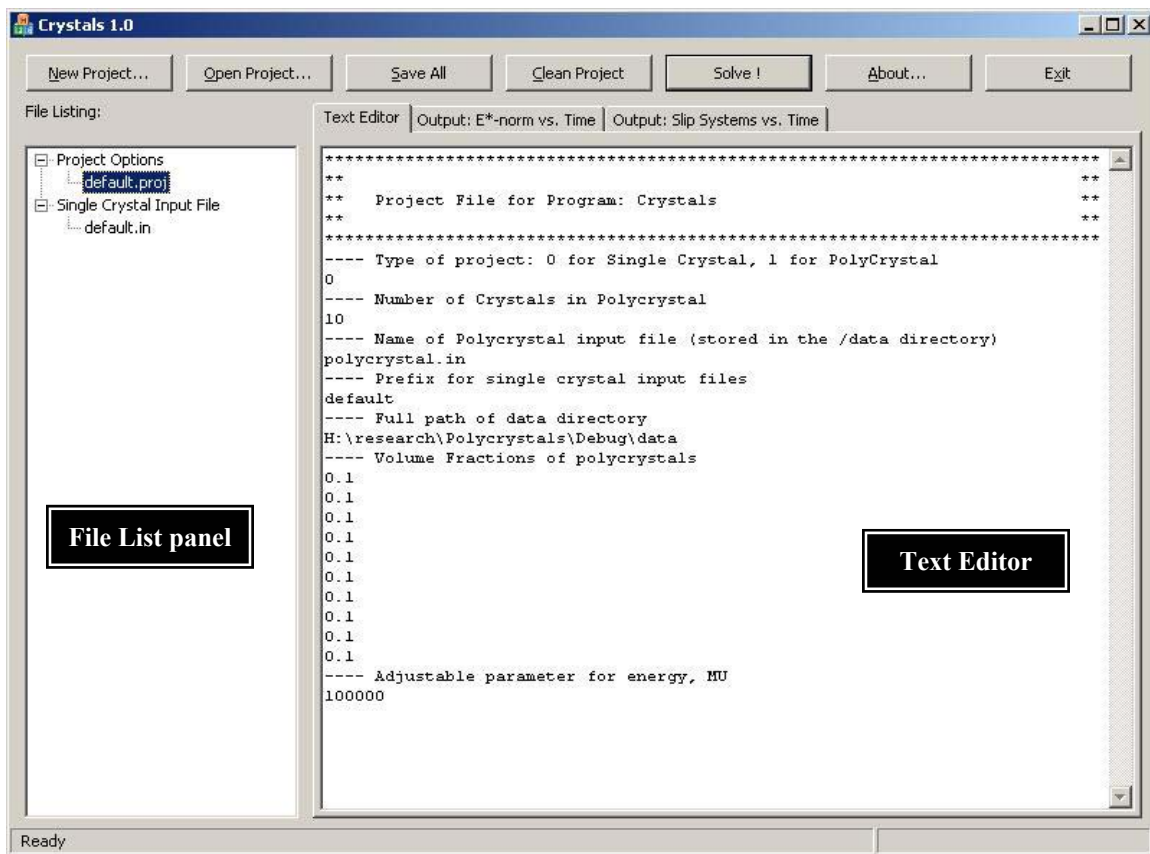



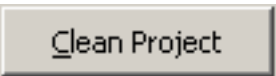
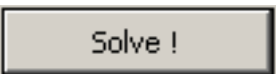

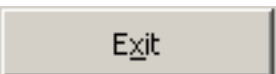


Figure 13: The Main screen

Figure 13 shows the Main screen of the program. The main components of this screen are:

1. **The File List panel:** This panel contains a listing of all input files for the project that is currently open.
2. **The Text Editor:** This is a text editor built into the program. Select a file from the File List panel to edit it.

Figure 14 shows the buttons that are available at the top of the Main screen:

	Creates a new project.
	Opens an existing project. The Project filename must have an extension of “.proj”
	Saves all open file(s) in the text editor.
	Deletes all intermediate input and output files (only for Polycrystal projects)
	Fires up the Solver. Select one of the “Output:” tabs to see a plot of the results as they are calculated.
	Displays information about the application
	Exits the application

**Figure 14: Buttons in the program**

### Switching between screens

The user can switch between the Text Editor and Output screens using the tabs at the top of the Main window shown in Figure 15. (Note that the ordering and naming of tabs may be different for a single crystal and polycrystal project.

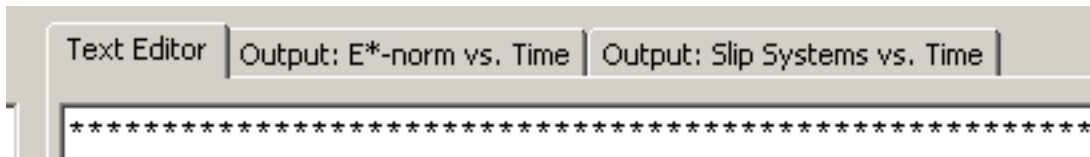


Figure 15: Switching between screens

### Sample Output screen

Figure 16 shows a sample output screen for a single crystal project. The graph is a plot of the  $L^2$ -norm of  $E^*$  versus Time.

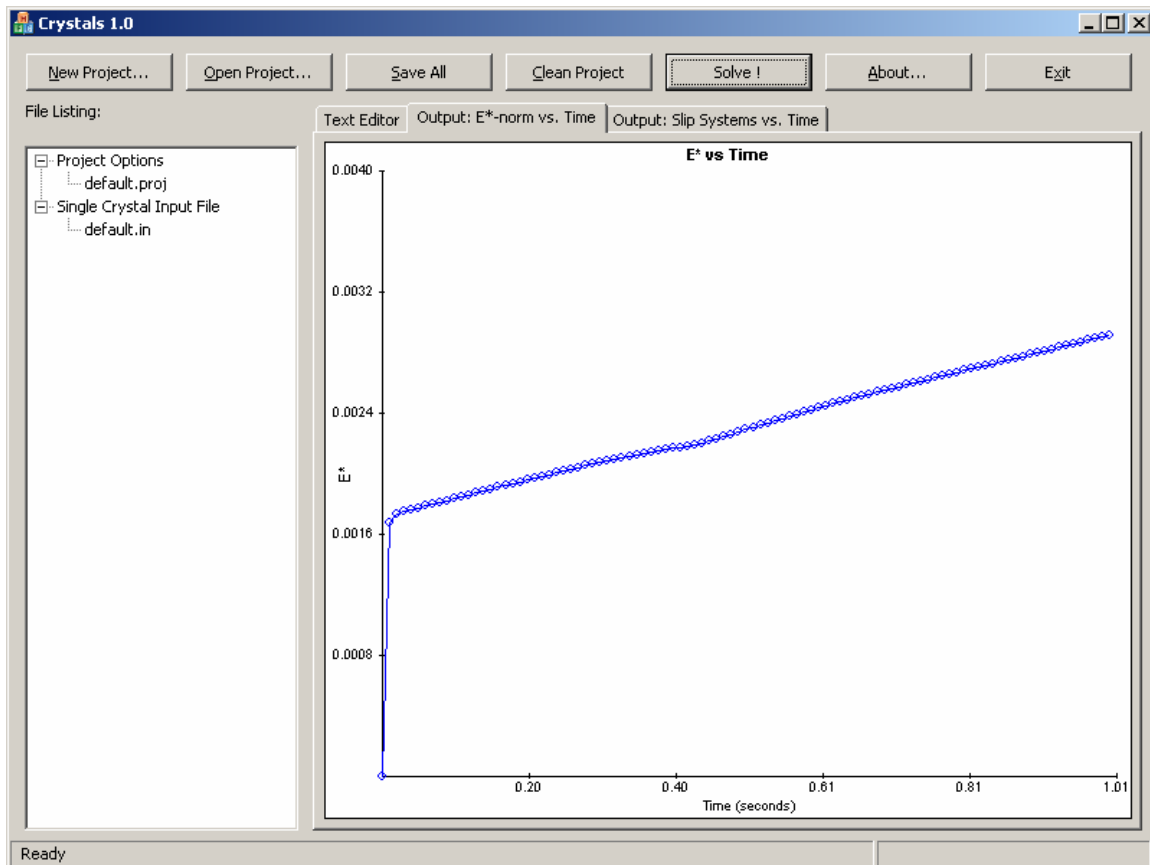


Figure 16: Sample output screen

## Sample projects

This section outlines the project and input files for typical projects.

### Single crystal project

The following files are for a Single crystal project, with the crystal being subjected to simple tension. The initial orientation of the crystal is such that the Euler Angles are: 50 degrees, 0 degrees, and 5 degrees. The Slip Systems outlined are for a FCC material. The initial Hardness of each Slip System is 27.17 MPa. The simulation runs for 1.0 second with timesteps of 0.05 seconds. Table 5 - Table 9 illustrate sample files that can be used.

**Table 5: Single crystal project - sample Project file (default.proj)**

Line Number	Data contained on line
1	*****
2	**
3	** Project file for program: Crystals **
4	**
5	*****
6	---- Type of project: 0 for single crystal, 1 for Polycrystal
7	0
8	---- Number of Crystals in Polycrystal
9	1
10	---- Name of Polycrystal input file (stored in the /data directory)
11	Polycrystal.in
12	---- Prefix for single crystal input files
13	default
14	---- Full path of data directory
15	
16	---- Volume Fractions of polycrystals
17	1
18	---- Adjustable parameter for energy, MU
19	10000

**Table 6: Single crystal project - sample Input file (default.in)**

Line Number	Data contained on line
1	-----
2	--- Input File for Single Crystal ---
3	-----
4	--- L, the Velocity Gradient
5	[1.0 0 0; 0 -0.5 0; 0 0 -0.5;]
6	--- euler angles (degrees)
7	50
8	0
9	5
10	--- no of slip systems
11	12
12	--- slip system normals
13	[1 1 1;]
14	[1 1 1;]
15	[1 1 1;]
16	[-1 1 1;]
17	[-1 1 1;]
18	[-1 1 1;]
19	[-1 -1 1;]
20	[-1 -1 1;]
21	[-1 -1 1;]
22	[1 -1 1;]
23	[1 -1 1;]
24	[1 -1 1;]
25	--- slip system directions
26	[1; 0; -1;]
27	[0; 1; -1;]
28	[-1; 1; 0;]
29	[0; 1; -1;]
30	[-1; 0; -1;]
31	[-1; -1; 0;]
32	[0; -1; -1;]
33	[-1; 0; -1;]
34	[1; -1; 0;]
35	[0; -1; -1;]
36	[1; 0; -1;]
37	[1; 1; 0;]
38	--- Initial Value of Elastic deformation gradient
39	[1 0 0; 0 1 0; 0 0 1;]
40	--- Hardness Matrix
41	[27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17;]
42	--- timestep
43	0.05
44	--- max time
45	1.0

## Polycrystal project

The following files are for a Polycrystal project, with the crystal aggregate being subjected to simple tension. The aggregate contains 10 crystals, each having a volume fraction of 0.1. The Slip Systems outlined are for a FCC material. The initial Hardness of each Slip System is 27.17 MPa. The simulation runs for 1.0 second with timesteps of 0.05 seconds. The input files for the polycrystal aggregate and the initial input files for the individual crystals are identical in all aspects except for the three Euler Angles.

**Table 7: Polycrystal project - sample Project file (polycrystal.proj)**

Line Number	Data contained on line
1	*****
2	**
3	** Project file for program: Crystals **
4	**
5	*****
6	---- Type of project: 0 for single crystal, 1 for Polycrystal
7	1
8	---- Number of Crystals in Polycrystal
9	10
10	---- Name of Polycrystal input file (stored in the /data directory)
11	Polycrystal.in
12	---- Prefix for single crystal input files
13	default
14	---- Full path of data directory
15	
16	---- Volume Fractions of polycrystals
17	0.1
18	0.1
19	0.1
20	0.1
21	0.1
22	0.1
23	0.1
24	0.1
25	0.1
26	0.1
27	---- Adjustable parameter for energy, MU
28	10000

The Input file for the polycrystal aggregate is given in Table 8.

**Table 8: Polycrystal project - sample Input file (polycrystal.in)**

Line Number	Data contained on line
1	-----
2	--- Input File for single crystal ---
3	-----
4	--- L, the Velocity Gradient
5	[1.0 0 0; 0 -0.5 0; 0 0 -0.5;]
6	--- euler angles (degrees)
7	0
8	0
9	0
10	--- no of slip systems
11	12
12	--- slip system normals
13	[1 1 1;]
14	[1 1 1;]
15	[1 1 1;]
16	[-1 1 1;]
17	[-1 1 1;]
18	[-1 1 1;]
19	[-1 -1 1;]
20	[-1 -1 1;]
21	[-1 -1 1;]
22	[1 -1 1;]
23	[1 -1 1;]
24	[1 -1 1;]
25	--- slip system directions
26	[1; 0; -1;]
27	[0; 1; -1;]
28	[-1; 1; 0;]
29	[0; 1; -1;]
30	[-1; 0; -1;]
31	[-1; -1; 0;]
32	[0; -1; -1;]
33	[-1; 0; -1;]
34	[1; -1; 0;]
35	[0; -1; -1;]
36	[1; 0; -1;]
37	[1; 1; 0;]
38	--- Initial Value of Elastic deformation gradient
39	[1 0 0; 0 1 0; 0 0 1;]
40	--- Hardness Matrix
41	[27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17;]
42	--- timestep
43	0.05
44	--- max time
45	1.0



The initial Input file for crystal number 0 (default-0--1.in) is given in Table 9.

**Table 9: Polycrystal project — sample initial Input file for crystal 0 (default-0--1.in)**

Line Number	Data contained on line
1	-----
2	--- Input File for single crystal ---
3	-----
4	--- L, the Velocity Gradient
5	[1.0 0 0; 0 -0.5 0; 0 0 -0.5;]
6	--- euler angles (degrees)
7	80
8	20
9	12
10	--- no of slip systems
11	12
12	--- slip system normals
13	[1 1 1;]
14	[1 1 1;]
15	[1 1 1;]
16	[-1 1 1;]
17	[-1 1 1;]
18	[-1 1 1;]
19	[-1 -1 1;]
20	[-1 -1 1;]
21	[-1 -1 1;]
22	[1 -1 1;]
23	[1 -1 1;]
24	[1 -1 1;]
25	--- slip system directions
26	[1; 0; -1;]
27	[0; 1; -1;]
28	[-1; 1; 0;]
29	[0; 1; -1;]
30	[-1; 0; -1;]
31	[-1; -1; 0;]
32	[0; -1; -1;]
33	[-1; 0; -1;]
34	[1; -1; 0;]
35	[0; -1; -1;]
36	[1; 0; -1;]
37	[1; 1; 0;]
38	--- Initial Value of Elastic deformation gradient
39	[1 0 0; 0 1 0; 0 0 1;]
40	--- Hardness Matrix
41	[27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17 27.17;]
42	--- timestep
43	0.05
44	--- max time
45	0.05

The input files for the remaining 9 crystals follow the naming conventions outlined earlier. The user can specify a different initial orientation for each of the individual crystals.

## CHAPTER IV

### RESULTS

This chapter presents the results of our simulations. First, the results of the Single crystal solver are presented. The effect of different timesteps on the simulation is studied. Next, a set of simulations is presented which shows the shearing rate on the individual slip systems and the corresponding graph of strain versus time. A set of simulations is presented which compares the difference between an empirical hardening law and the “universal” hardening law for FCC metals. Finally, we see the difference in the graphs of two crystals which are oriented differently with respect to the reference coordinate system.

#### Single crystal simulation results

##### Effect of time steps

Let us consider a single FCC crystal under simple tension. The velocity gradient for this case will be given by

$$\mathbf{L} = \begin{bmatrix} 1.0 & 0.0 & 0.0 \\ 0.0 & -0.5 & 0.0 \\ 0.0 & 0.0 & -0.5 \end{bmatrix} \text{ s}^{-1}$$

The orientation of the crystal is aligned with our sample axes, i.e., the Euler Angles are 0 deg, 0 deg and 0 deg. The slip systems are the same for all FCC materials. The simulation is carried out for a time of 0.5 seconds.

The following graphs show the difference between results with two different time steps: 0.005 seconds and 0.01 seconds. The quantities plotted are:  $L^2$ -norm of  $\mathbf{E}^*$ , the elastic strain versus Time (seconds). Figure 17 and Figure 18 show that the results are not affected greatly by the difference in time steps.

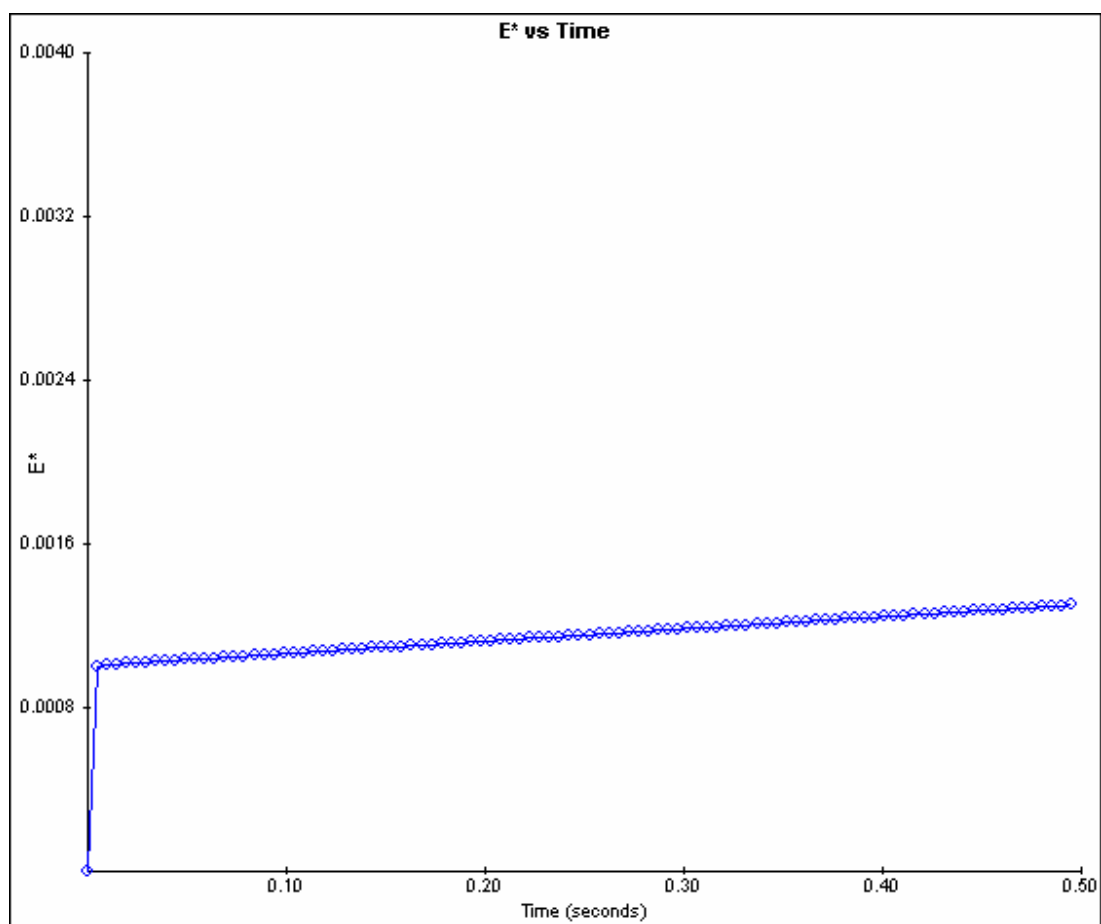


Figure 17: Results - Simulation with time step = 0.005 sec.

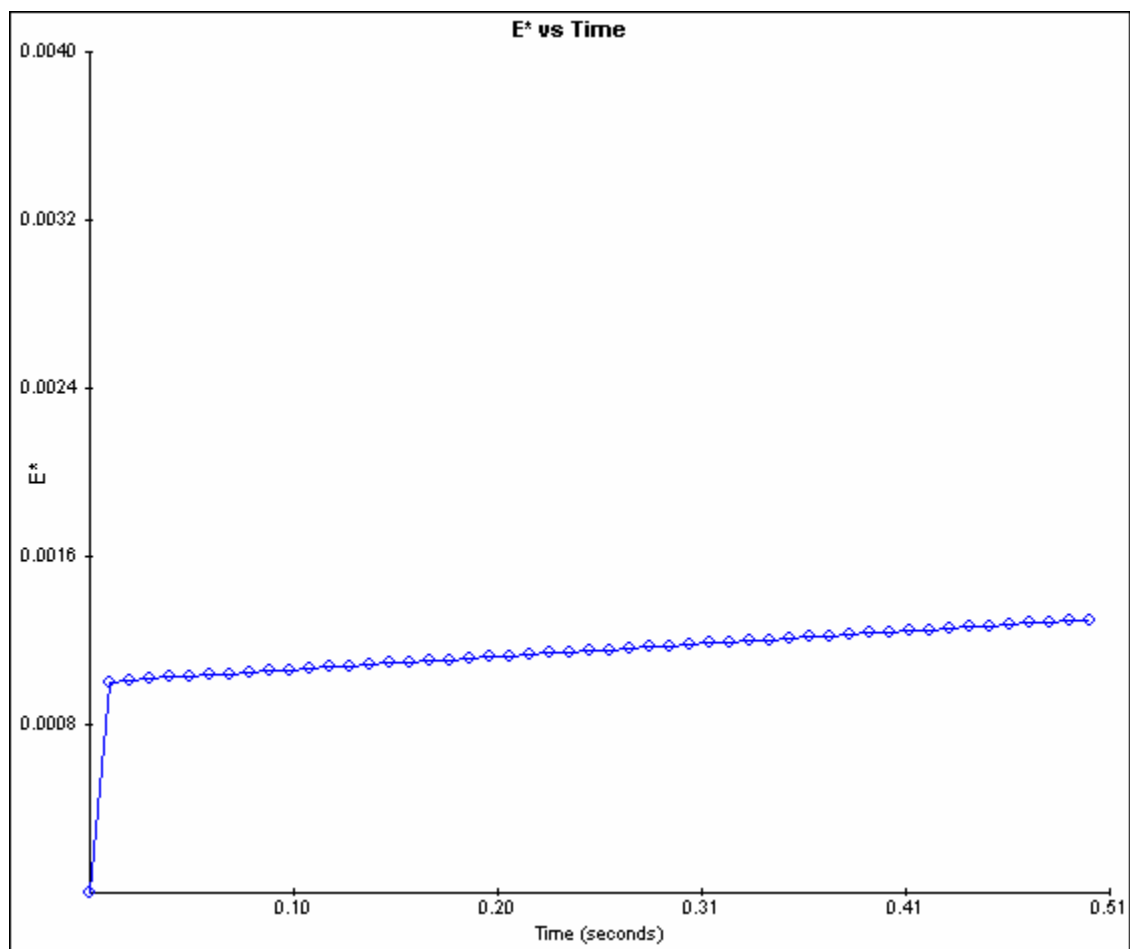


Figure 18: Results - Simulation with time step = 0.01 sec.

### Shearing rates on slip systems

Again, consider a single FCC crystal under simple tension. The velocity gradient for this case will be given by

$$\mathbf{L} = \begin{bmatrix} 1.0 & 0.0 & 0.0 \\ 0.0 & -0.5 & 0.0 \\ 0.0 & 0.0 & -0.5 \end{bmatrix} \text{ s}^{-1}$$

The orientation of the crystal is aligned with our sample axes, i.e., the Euler Angles are 0 deg, 0 deg and 0 deg. The slip systems are the same for all FCC materials. The simulation is carried out for a time of 1.0 seconds. Figure 19 shows the plot of the  $L^2$ -norm of  $\mathbf{E}^*$  versus Time (seconds).

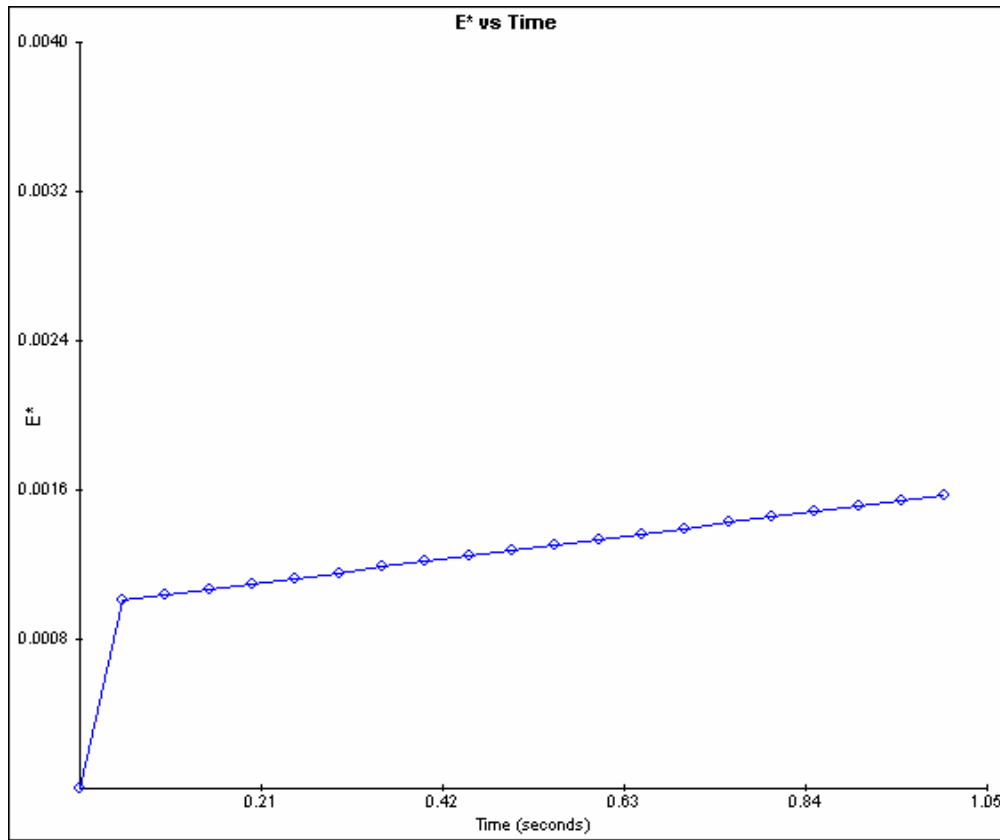


Figure 19: Results - Plot of  $E^*$  vs Time

Figure 20 shows the shearing rate of each slip system ( $\dot{\gamma}^a$ ) vs Time (seconds).

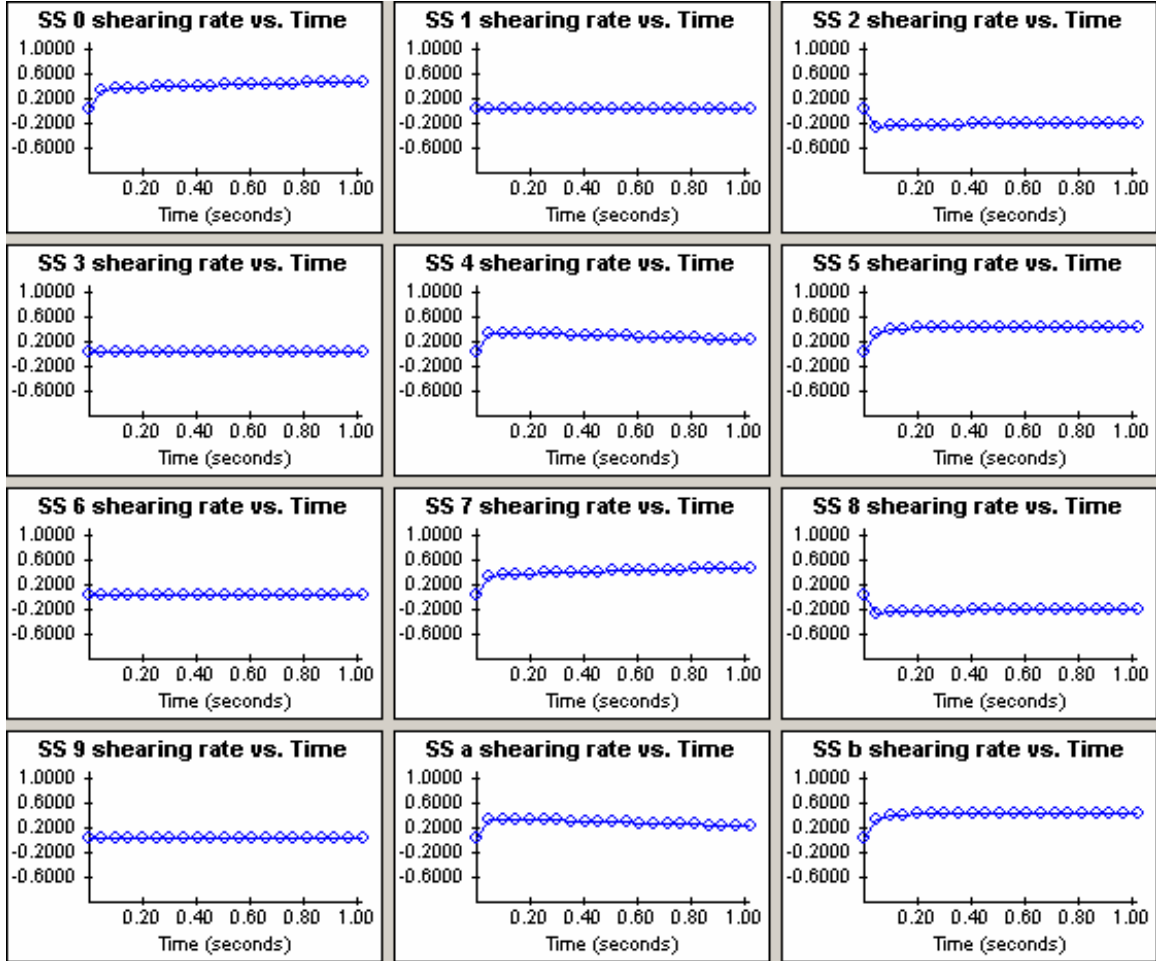


Figure 20: Results - Shearing rates

### Comparison of hardening laws

Again, consider a single FCC crystal under simple tension. The velocity gradient for this case will be given by

$$\mathbf{L} = \begin{bmatrix} 1.0 & 0.0 & 0.0 \\ 0.0 & -0.5 & 0.0 \\ 0.0 & 0.0 & -0.5 \end{bmatrix} \text{ s}^{-1}$$

The orientation of the crystal is aligned with our sample axes, i.e., the Euler Angles are 0 deg, 0 deg and 0 deg. The slip systems are the same for all FCC materials. The simulation is carried out for a time of 0.5 seconds. The time step used is 0.005 sec.

The hardening law presented first (Figure 21) is the one used by Sarma and Zacharia, Kocks, and Mathur and Dawson. (Sarma and Zacharia, 1999; Kocks, 1976; Mathur and Dawson, 1989). It must be noted that this law assumes that the hardening of all the slip systems is the same. This is achieved by using the sum of the absolute values of the shearing rates on each slip system in the evolution equation for the Hardness.



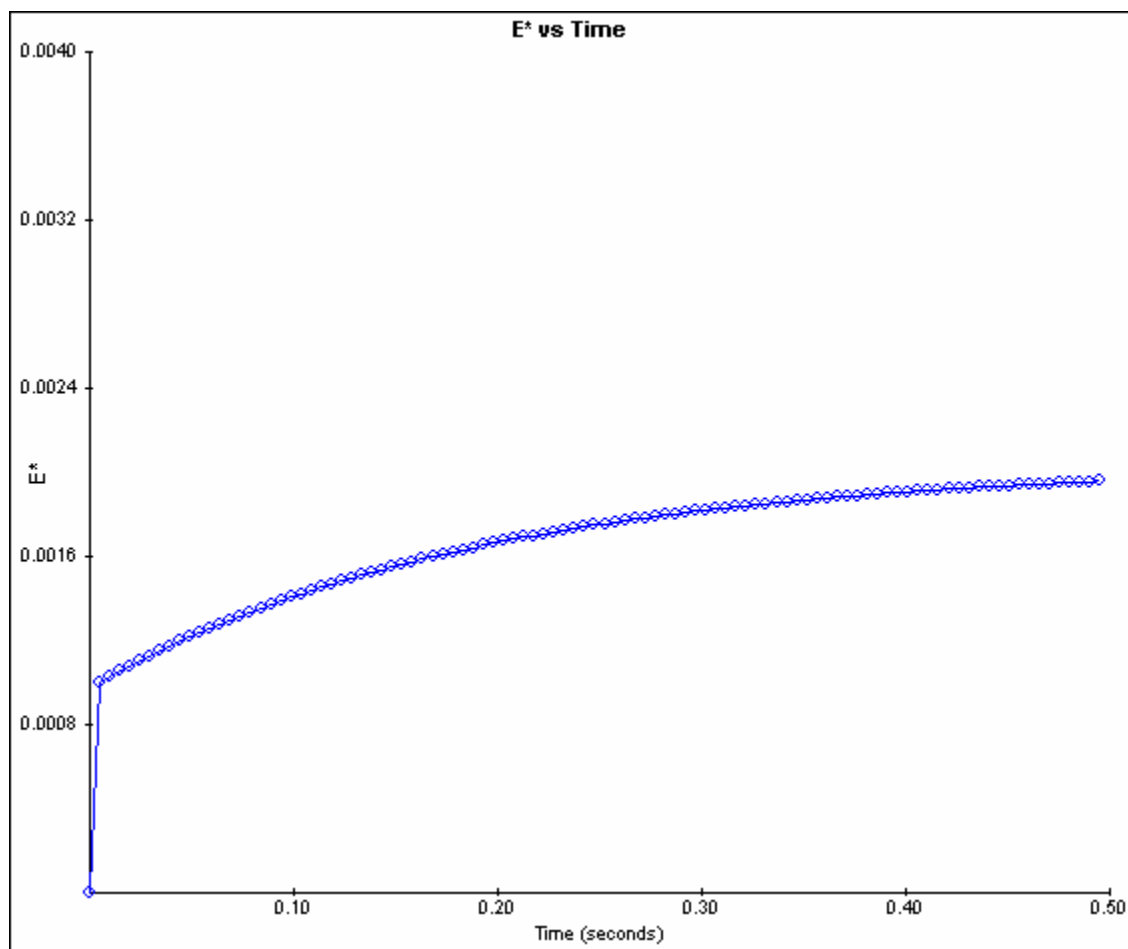


Figure 21: Results - Old hardening law

The result of using the “Universal” hardening law, is given in Figure 22.

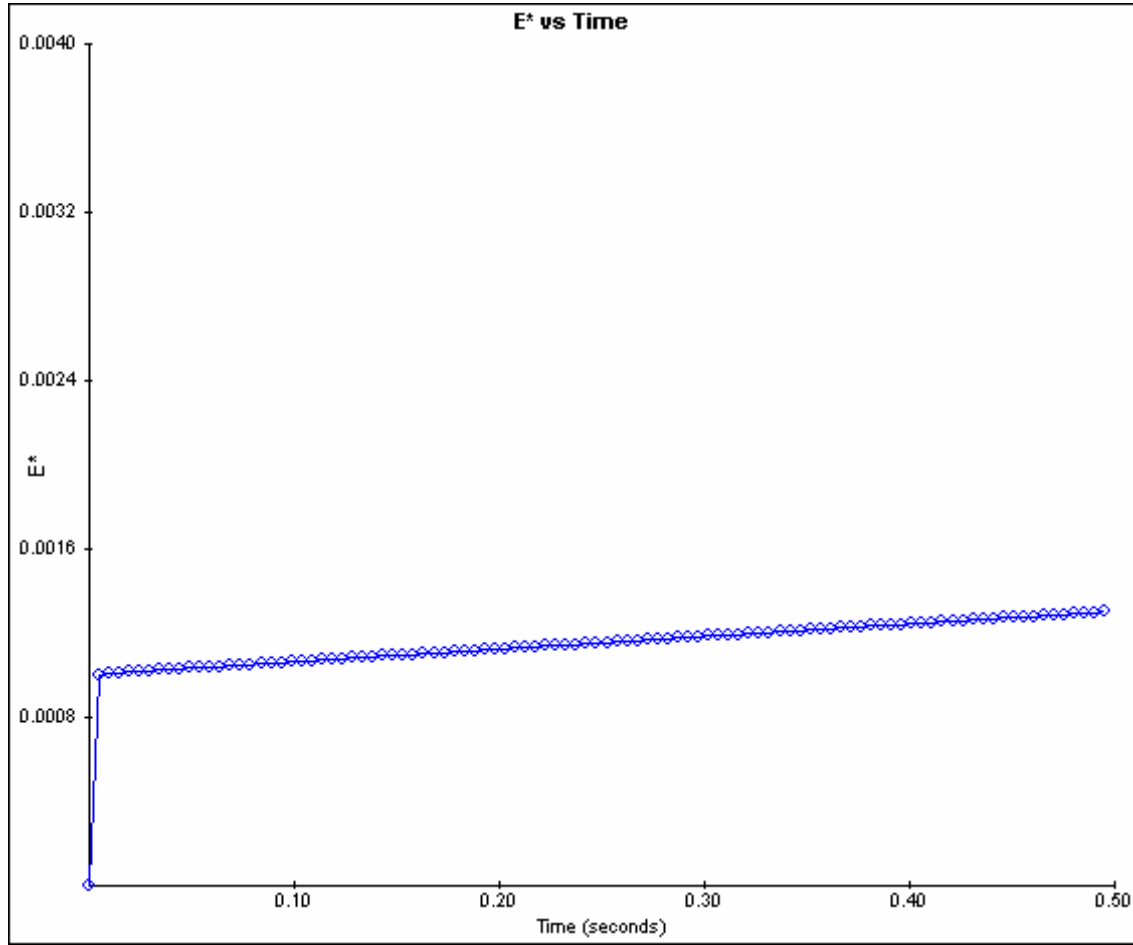


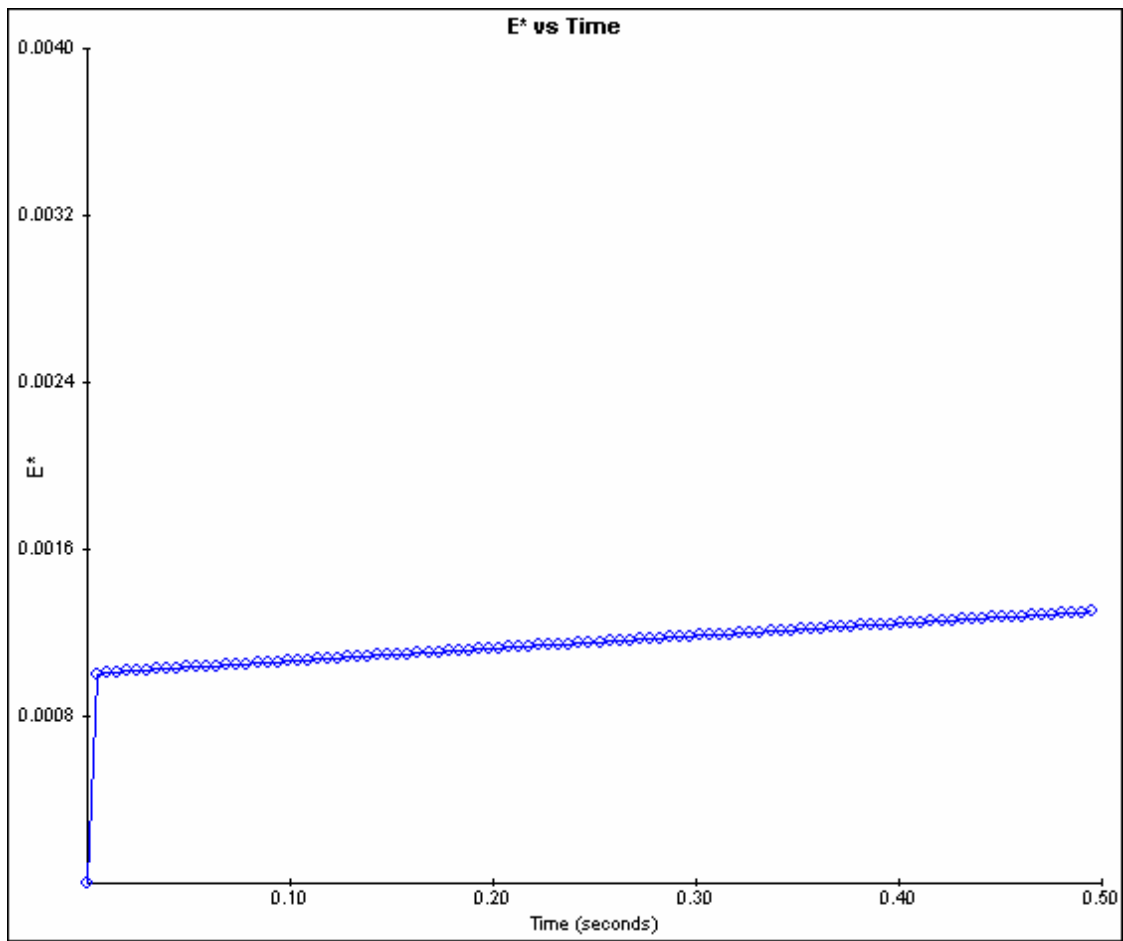
Figure 22: Results - "Universal" FCC hardening law

Effect of crystal orientation

Again, consider a single FCC crystal under simple tension. The velocity gradient for this case will be given by

$$\mathbf{L} = \begin{bmatrix} 1.0 & 0.0 & 0.0 \\ 0.0 & -0.5 & 0.0 \\ 0.0 & 0.0 & -0.5 \end{bmatrix} \text{ s}^{-1}$$

For Figure 23, the orientation of the crystal is aligned with our sample axes, i.e., the Euler Angles are 0 deg, 0 deg and 0 deg. For Figure 24, the orientation of the crystal is such that the Euler Angles are 0 deg, 65 deg, and 27 deg. The slip systems are the same for all FCC materials. The simulation is carried out for a time of 0.8 seconds. The time step used is 0.01 sec.



**Figure 23: Results - Euler angles 0 deg, 0 deg, 0 deg**

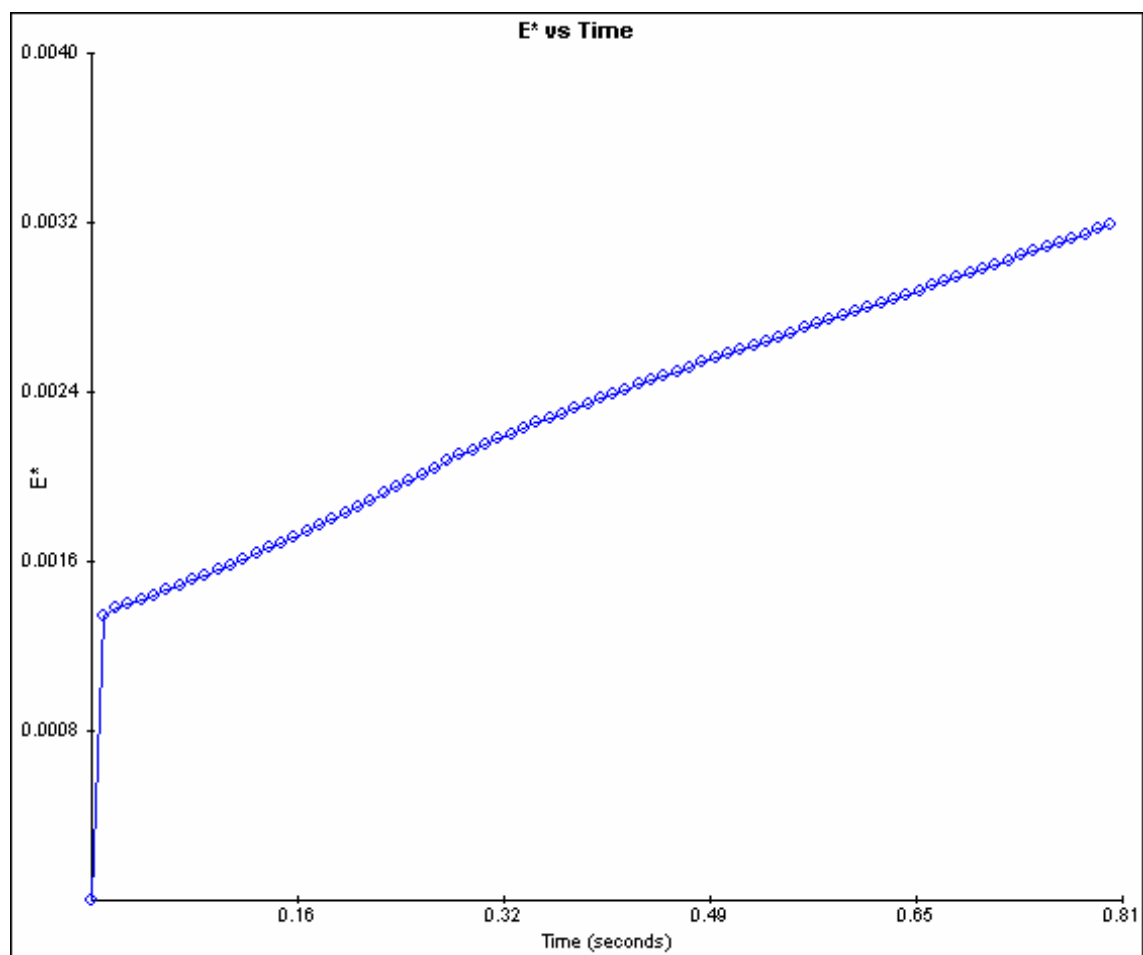


Figure 24: Results - Euler angles 0 deg, 65 deg, 27 deg

## Polycrystal simulation results

For the polycrystal simulation, the following parameters are selected:

1. Number of crystals = 10
2. Volume fraction of each crystal = 0.1
3. Initial orientation of each crystal – random.
4. Energy parameter  $\mu$  – adjustable.
5. Time steps – 0.5 seconds.
6. Maximum time – 1.0 second.

The results demonstrate how the response of each single crystal differs from the response of the polycrystalline aggregate. Two types of graphs are plotted:

1. Graphs that show the Total Energy of each crystal with respect to time.

The Total Energy for the  $i^{\text{th}}$  crystal is given by:

$$\Psi = \frac{1}{2}(\mathbf{T}^* \cdot \mathbf{E}^*) + \frac{\mu}{2}[\mathbf{F} - \mathbf{F}^{(i)}] \cdot [\mathbf{F} - \mathbf{F}^{(i)}]$$

2. Graphs that show the Interaction Energy of each crystal with respect to time. The Interaction Energy is defined as the energy of the crystal due to its interaction with the neighboring crystals. This is given by:

$$\Psi = \frac{\mu}{2}[\mathbf{F} - \mathbf{F}^{(i)}] \cdot [\mathbf{F} - \mathbf{F}^{(i)}]$$

The significance of  $\mu$ , the energy parameter, is described earlier in Chapter II. The conclusions drawn from the results are given after the graphs.

Two test cases are considered:

1.  $\mu = 50 \times 10^3$  - This value is close to the Lamé constant for the material. Figure 25 and Figure 26 give the total energy and the interaction energy, respectively.

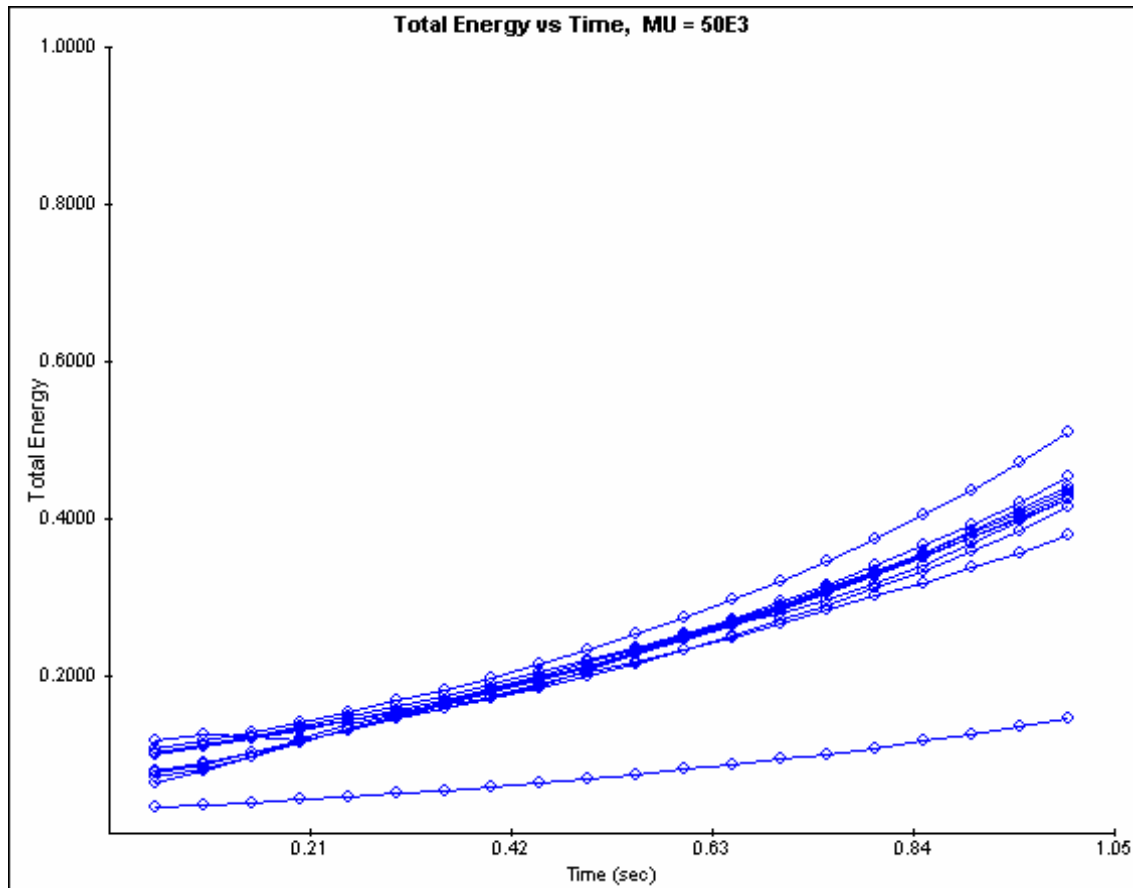


Figure 25: Results - total energy for  $\mu = 50 \times 10^3$

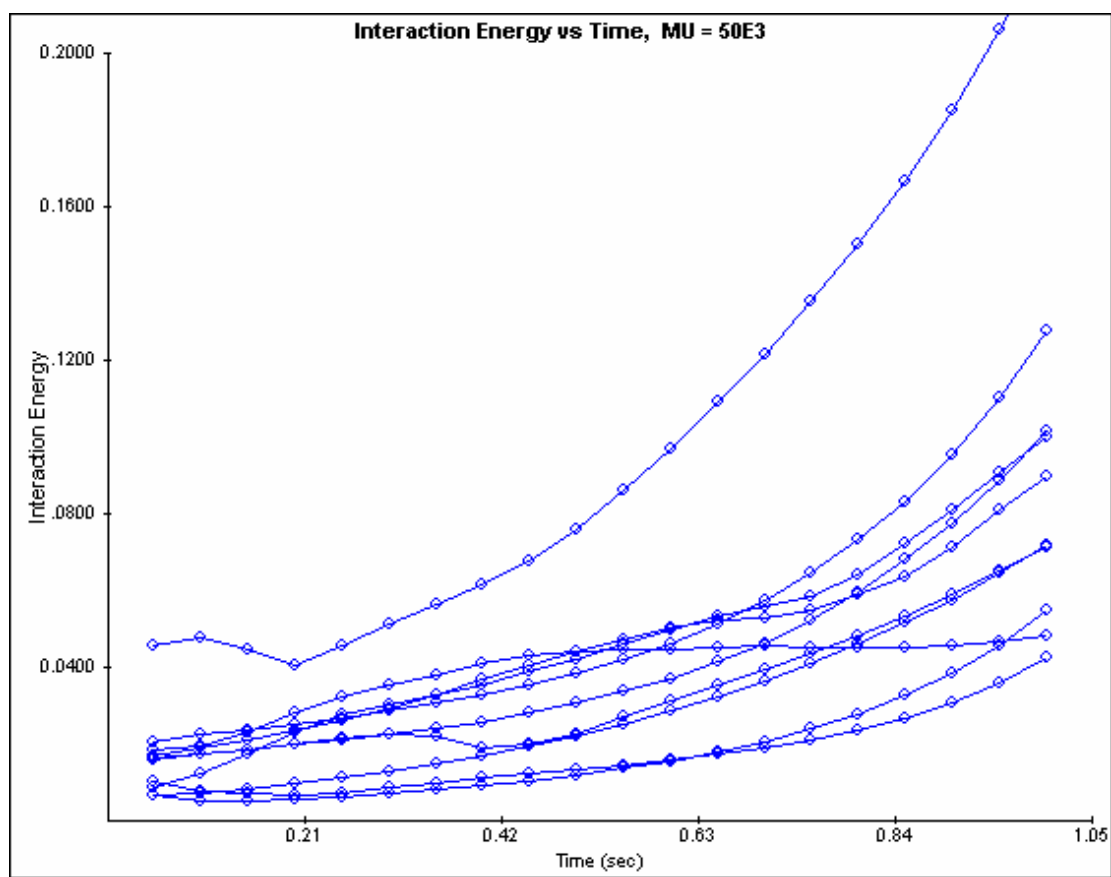
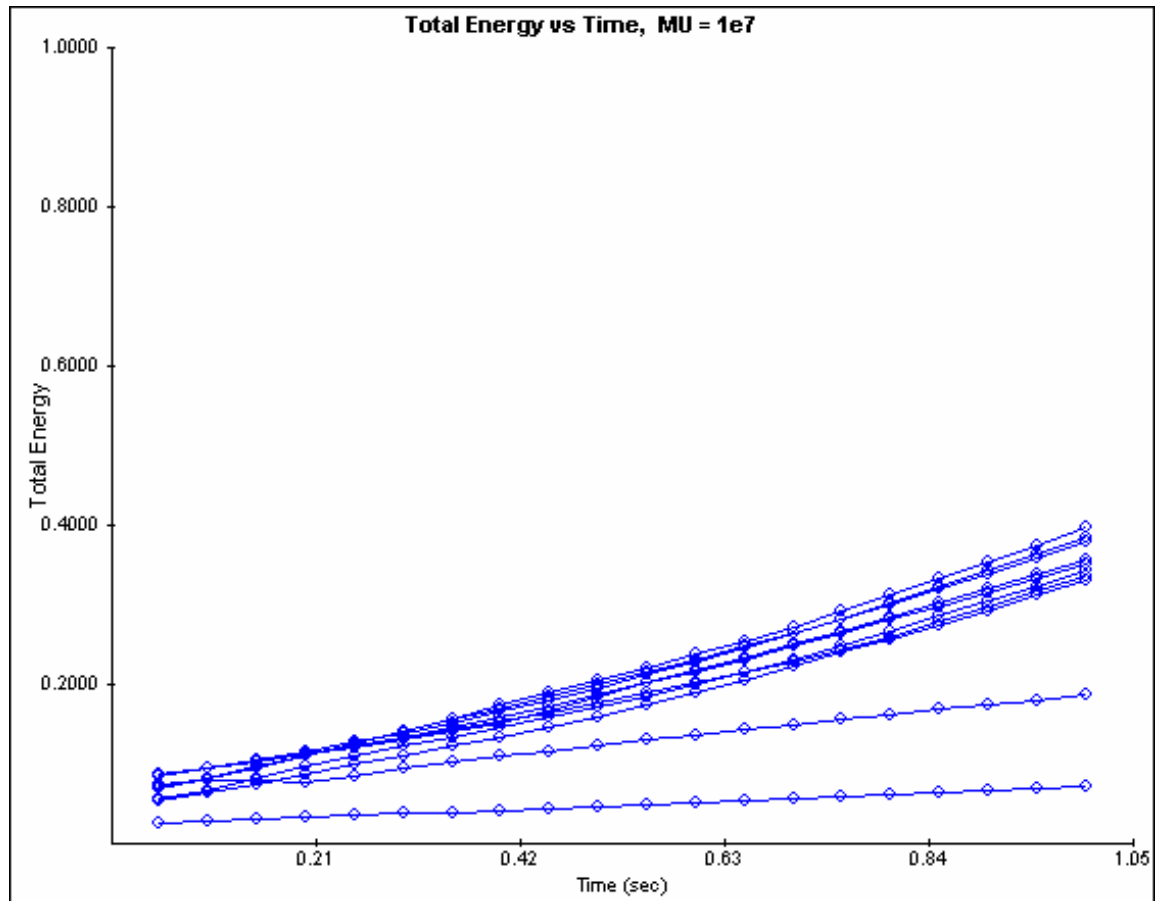


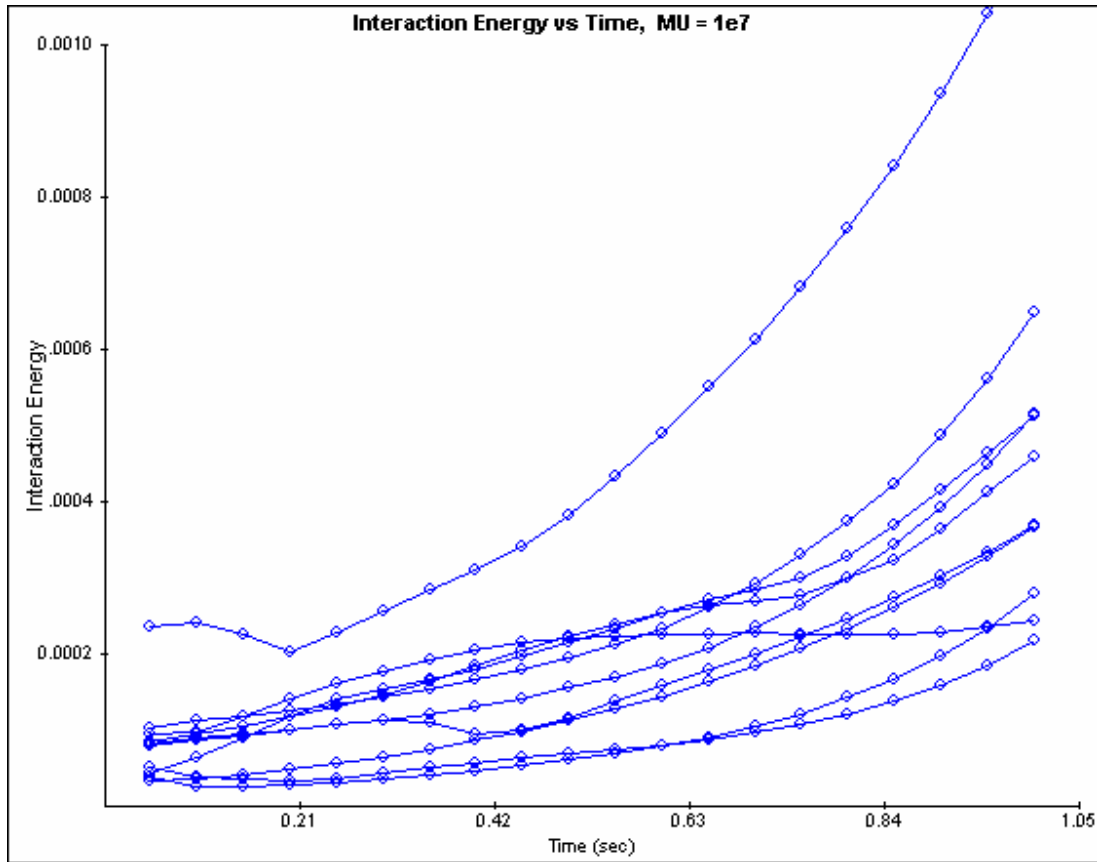
Figure 26: Results - interaction energy for  $\mu = 50 \times 10^3$

2.  $\mu = 10^7$  - This value is much greater than the Lamé constant for the material. Figure 27 and Figure 28 give the total energy and the interaction energy, respectively.



**Figure 27: Results - total energy for  $\mu = 10^7$**





**Figure 28: Results - interaction energy for  $\mu = 10^7$**

We find that as we increase the value of the energy parameter ( $\mu$ ), the interaction energy of the crystals decreases, while the total energy does not change significantly. In other words, for lower values of  $\mu$ , the fraction of the total energy that is due to interaction with neighboring grains is higher. This behavior is expected, because lower values of  $\mu$  allow each crystal more “lenience” in conforming to the deformation of the polycrystalline aggregate. Since the crystal orientations lie in a greater band, the difference between the orientations of neighboring crystals is higher. From this, it logically follows that the interaction energy for the crystals will be greater for lower values of  $\mu$ . For higher values of  $\mu$ , the individual crystals are forced to conform to the deformation of the polycrystalline aggregate. Thus, higher values of  $\mu$  would yield a model similar to the Taylor model, while lower values of  $\mu$  would give us a model that emulates the Sachs model.

## CHAPTER V

### CODE ORGANIZATION

The software was developed on a Microsoft Windows XP platform using C++ and Microsoft Foundation Classes. The development environment used was Microsoft Visual Studio .NET.

Some of the general guidelines followed while writing the code were:

1. Each sub-program consists of a header file (with extension .h) and a source file (with extension .cpp).
2. Variables follow the camel notation.
3. Member variables in a class module begin with “m\_”

#### **File listing**

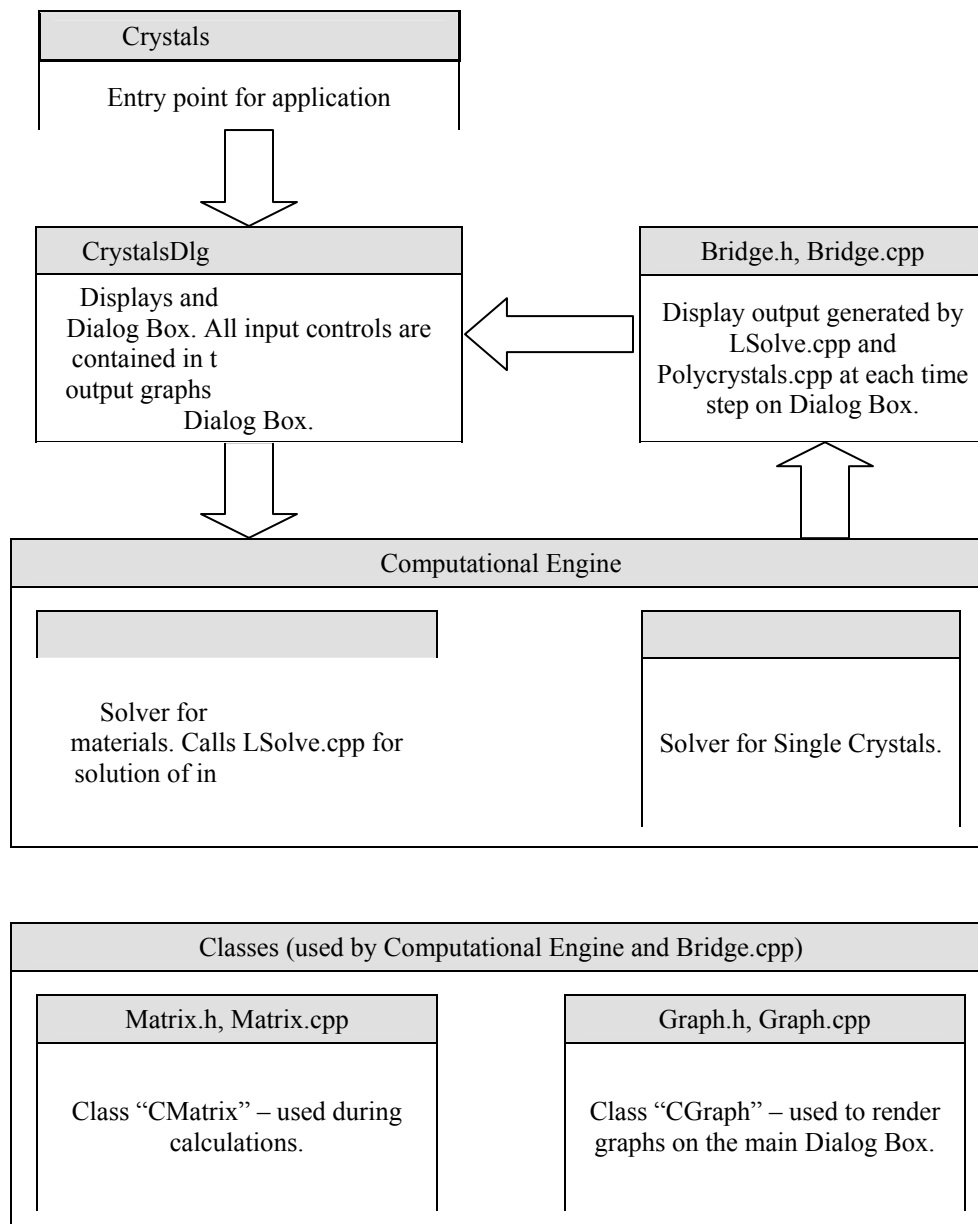
This section lists the various files that constitute the program, and a broad overview of each file. Note that the header (.h) and source code (.cpp) files are clubbed together as one unit. Table 10 gives the list of files used in the application.

**Table 10: List of files that constitute the application**

Filename	Description
Crystals.h Crystals.cpp	Files automatically generated by the MFC Application wizard. These files perform application initialization, as well as provide an entry point for the application. The only change made to these files was to add a variable that allows the main Dialog Box to be accessed globally.
CrystalsDlg.h CrystalsDlg.cpp	Files automatically generated by the MFC Application wizard. These files contain resources for displaying the main Dialog Box for the application.
LSolve.h LSolve.cpp	These files make up the core of the application. LSolve.cpp contains the function LSolve(), which solves the problem described in an Input file (which is passed as an argument to the function).
Bridge.h Bridge.cpp	LSolve.cpp is written in a platform-independent manner. The function of Bridge.cpp is to act as a “bridge” between LSolve() and the specific platform used (Microsoft Windows). Bridge.cpp initializes various graphs and the progress bar, and updates these as soon as the solutions are available.
PolyCrystals.h PolyCrystals.cpp	These files load a polycrystal project from a Project file and proceed to solve the problem described within it. These files call LSolve() to solve single-crystal problems within the polycrystalline aggregate.
Matrix.h Matrix.cpp	This program uses matrices extensively to perform calculations. Virtually all of the major variables are either matrices or vectors. These files create a “Matrix” class, which is used throughout the program. The various member functions and variables in the Matrix class are described later on.
Graph.h Graph.cpp	These files provide a “Graph” class, which is used to render graphs onto the main Dialog Box. The member functions and variables in this class are described later on.

## Bringing it all together

Figure 29 shows how the various files in the application work with each other.



**Figure 29: Interaction between various files in the program**

## Changing modules in the code

Table 11 outlines some of the functions that can be changed in the code to achieve desired objectives.

**Table 11: Quick reference – Code modules**

Objective	Change function(s)	In File(s)
Change algorithm for single crystal solver	LSolve() – (entry point for algorithm)	LSolve.h, LSolve.cpp
Apply a new hardening law for slip systems	ComputeHardness()	LSolve.h, LSolve.cpp
Change single crystal Solver Input file format	InitVariables()	LSolve.h, LSolve.cpp
Change single crystal Solver output file format	SaveResultsToFile()	LSolve.h, LSolve.cpp
Change algorithm for Polycrystal solver.	PolyCrystals()	PolyCrystals.h, PolyCrystals.cpp
Add more functionality to class CMatrix	(any)	Matrix.h, Matrix.cpp
Add more functionality to class CGraph	(any)	Graph.h, Graph.cpp
Change type of graphs displayed on screen.	SetupInput(), UpdateInput()	Bridge.h, Bridge.cpp
Change Graphical User Interface	(any)	CrystalsDlg.h, CrystalsDlg.cpp, Crystals.rc, Crystals.rc2

## Classes

The code makes extensive use of Classes. There are two types of classes used in this project.

1. Application classes: These classes are generated by the MFC Application Wizard, and provide entry and exit points for the application. These classes are specific to the Win32 platform.
2. Arithmetic classes: These classes are needed to perform computational tasks, such as finding the inverse of a matrix and plotting graphs on screen.

The following classes are used in the application:

### CCrystalTexturesApp

This is the application class, and is generated automatically by the MFC Application Wizard.

### CCrystalTexturesDlg

This is the Dialog class for the Crystal Textures application. The user interacts with the application using this dialog. This class is automatically generated by the MFC Application Wizard.

## CMatrix

This is a generic class for a n-by-n matrix. The member variables for class CMatrix are given in Table 12. The member functions for the class CMatrix are given in Table 13 - Table 28.

**Table 12: Member variables in class CMatrix**

Member Variable	Variable Type	Description
m_dMatData	vector<vector<double>>	Matrix of double precision numbers.
m_pdMatData	double *	Pointer to the double precision numbers within the matrix.
m_bFirstTimePointer	bool	Used internally to determine if a pointer to matrix data has been previously returned.

**Table 13: Function reference - CMatrix::CMatrix()**

CMatrix::CMatrix(int numRows = 3, int numColumns = 3, double defVal = 0);		
<b>Input Parameters:</b>		
Type	Variable	Description
int	numRows	Number of rows in the matrix to be created.
int	numColumns	Number of columns in the matrix.
double	defVal	Value that each element in the matrix is set to.
<b>Notes:</b>		
CMatrix(5,5,4.3) will create a 5 x 5 matrix with each element equal to 4.3		
This is the default constructor for this class.		

**Table 14: Function reference - CMatrix::GetColumns()**

<code>int GetColumns(void) const;</code>
<b>Input Parameters: none</b>
<b>Returns: (int)</b> This function returns the number of columns in the matrix.

**Table 15: Function reference - CMatrix::GetRows()**

<code>int CMatrix::GetRows(void) const;</code>
<b>Input Parameters: none</b>
<b>Returns: (int)</b> This function returns the number of rows in the matrix.

**Table 16: Function reference - CMatrix::CMatrix()**

<code>CMatrix::CMatrix(string initString);</code>		
<b>Input Parameters:</b>		
Type	Variable	Description
string	initString	This is the initialization string for the matrix. This parameter has to be specially formatted for the class to recognize it. See Notes for details.
<b>Notes:</b> This function creates a matrix by reading data from the initialization string. This string has to be formatted according to the following rules: <ol style="list-style-type: none"> <li>1. The first character of the string must be “[“.</li> <li>2. Elements are specified row-wise.</li> <li>3. Numbers on the same row should be separated by exactly one space.</li> <li>4. The end of a row must be indicated by the character “;”.</li> <li>5. The last character in the string must be “]”.</li> </ol> Here are some examples of initialization strings: <ol style="list-style-type: none"> <li>1. Identity matrix – “[ 1 0 0; 0 1 0; 0 0 1; ]”</li> <li>2. Column matrix with 4 rows – “[ 9; 10; 7; 9; ]”</li> <li>3. Row matrix with 3 columns – “[ 9.18 4.43 2.98; ]”</li> </ol>		



**Table 17: Function reference - CMatrix::Transpose()**

<code>CMatrix Transpose(void);</code>
<b>Input Parameters: none</b>
<b>Notes:</b> <p>This function returns the transpose of the matrix contained within the class.</p>

**Table 18: Function reference - CMatrix::SetSize()**

<code>void CMatrix::SetSize(int numRows = 3, int numColumns = 3, double defVal = 0);</code>		
<b>Input Parameters:</b>		
Type	Variable	Description
int	numRows	Number of rows in the matrix to be created.
int	numColumns	Number of columns in the matrix.
double	defVal	Value that each element in the matrix is set to.
<b>Notes:</b> SetSize() resets the size of the matrix to [numRows] x [numColumns]. Each element in the matrix is set to the value defVal.		

**Table 19: Function reference - CMatrix::GetElement()**

<code>double GetElement(int row = 0, int column = 0) const;</code>		
<b>Input Parameters:</b>		
Type	Variable	Description
int	row	Row number of element within the matrix.
int	column	Column number of element within the matrix.
<b>Returns: (double)</b> <p>This function returns the element in the matrix corresponding to the row and column indicated by the variables “row” and “column”.</p>		

**Table 20: Function reference - CMatrix::L2norm()**

<code>CMatrix L2Norm(void);</code>
<b>Input Parameters: none</b>
<b>Notes:</b> <p>This function returns the <math>L^2</math> – norm of the matrix contained within the class.</p>

**Table 21: Function reference - CMatrix::SetElement()**

<code>void SetElement(int row = 0, int column = 0, double defVal = 0);</code>		
<b>Input Parameters:</b>		
Type	Variable	Description
int	row	Row number of element within the matrix.
int	column	Column number of element within the matrix.
double	defVal	Value that element within the matrix is set to.
<b>Notes:</b> <p>This function sets the value of element in the matrix corresponding to the row and column indicated by the variables “row” and “column” to the value “defVal”.</p>		

**Table 22: Function reference - CMatrix::Determinant()**

<code>double Determinant(void);</code>
<b>Input Parameters: none</b>
<b>Notes:</b> <p>This function returns the determinant of the matrix contained within the class.</p>

**Table 23: Function reference - CMatrix::DotProduct()**

<code>double DotProduct(CMatrix&amp; matDot);</code>		
<b>Input Parameters:</b>		
Type	Variable	Description
CMatrix&	matDot	Matrix for finding the dot product with.
<b>Returns: (double)</b> <p>This function computes the dot product of itself with the matrix “matDot” and returns the result.</p>		

**Table 24: Function reference - CMatrix::Operator42()**

<code>CMatrix Operator42(double dOperator[3][3][3][3]);</code>		
<b>Input Parameters:</b>		
Type	Variable	Description
double	dOperator	A 4-dimensional array representing a 4 <sup>th</sup> –order tensor.
<b>Returns: (CMatrix)</b> <p>This function computes the result of a 4<sup>th</sup> order tensor acting on a matrix, and returns this result.</p>		

**Table 25: Function reference - CMatrix::Inverse()**

<code>CMatrix Inverse(void);</code>		
<b>Input Parameters: none</b>		
<b>Notes:</b> This function returns the inverse of the matrix contained within the class.		

**Table 26: Function reference - CMatrix::DataPointer()**

double\* DataPointer();

**Input Parameters: none**

**Returns: (double \*)**

This function returns a pointer to the matrix data contained within it. This function should be called every time a pointer to the matrix data is desired (i.e., storing the value of this pointer is not recommended). The data is stored row-wise.

E.g. The Identity matrix would be stored as

1.0	0.0	0.0	0.0	1.0	0.0	0.0	0.0	1.0
-----	-----	-----	-----	-----	-----	-----	-----	-----

To access the element corresponding to the  $m^{\text{th}}$  row and  $n^{\text{th}}$  column, dereference the memory location  $(\text{dPointer} + (m - 1) * \text{numColumns} + (n - 1))$ ,

where,  $\text{dPointer}$  = pointer returned by `CMatrix::DataPointer()`

$\text{numColumns}$  = number of columns in the matrix.

**Table 27: Function reference - CMatrix::Reset()**

<code>CMatrix Reset(double defVal = 0);</code>
<b>Input Parameters: none</b>
<b>Notes:</b> This function sets the value of each element in the matrix to “defVal”.

**Table 28: Function reference - CMatrix::AddRow()**

<code>CMatrix AddRow(void);</code>
<b>Input Parameters: none</b>
<b>Notes:</b> This function adds a row to the end of the matrix contained within the class.

## CGraph

The CGraph class is used to display graphs on the dialog box. This class is specific to the Windows platform. Details on this class, including the member functions and variables can be obtained by browsing through the source code.

## CHAPTER VI

### SUMMARY AND CONCLUSION

A framework has been set up for simulating the elastic-plastic response of single crystals as well as polycrystalline materials. Each part of the program, such as the single crystal solver and the polycrystal solver is included as a separate module. This enables researchers to quickly test new theories for single crystals as well as polycrystals.

The module for the single crystal solver has been tested with FCC metals. Adapting it for BCC and HCP materials should involve simply changing the slip systems in the Input file passed to the solver. This module also includes an implementation of a “universal” hardening law for FCC materials. The hardening law allows for an independent hardening curve for each of the slip systems.

The polycrystal solver is implemented with a new theory for relating the response of single crystals to polycrystalline aggregates. The adjustable energy parameter in this algorithm allows us to tune the response such that it can either emulate the Taylor model, the Sachs model, or an “intermediate” model. All output from the single crystal solver can be stored in the form of output files on any disk. The orientation of the crystal can be obtained from polar decomposition of the elastic deformation gradient. This data can be used to view the development of texture in a material over time.

Future work can include integration of the predicted results with experimental results. For example, a method can be devised to determine the texture of a material specimen before deformation, as well as the volume fractions of the crystals that make up the specimen. This data can be fed to the program. By comparing the experimental and simulated results, researchers can build a database of materials and their corresponding energy parameters. Once this database is compiled, the program can be modified such that it will automatically select the energy parameter if the material is known.

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## APPENDIX

### NOTATION USED

The following notation is used in this thesis:

1. Vectors are indicated by bold face lower case letters (e.g.  $\mathbf{n}$ ,  $\mathbf{s}$ ).
2. Second-order tensors are indicated by bold face upper case letters (e.g.  $\mathbf{F}^*$ ,  $\mathbf{L}$ ).
3. Fourth-order tensors are indicated by square brackets around letters (e.g.  $[\mathbf{L}]$ ).
4. A superimposed dot indicates the material time derivative of that quantity.
5. A superscript “-1” indicates the inverse of a tensor (e.g.  $\mathbf{F}^{-1}$ ).
6. A superscript “T” indicates the transpose of a tensor (e.g.  $\mathbf{F}^T$ ).
7. The Determinant of a tensor  $\mathbf{F}_{ij}$  is given by  $\det(\mathbf{F})$ .
8. A superscript “-T” indicates the transpose of the inverse of a tensor (e.g.  $\mathbf{F}^{-T}$ ).
9. Summation over indices is implied. (e.g.  $\mathbf{P}_{ij}\mathbf{Q}_{ij} = \sum_i \sum_j \mathbf{P}_{ij}\mathbf{Q}_{ij}$  )
10. The inner product (or Dot Product) of two tensors is written as  $\mathbf{P} \cdot \mathbf{Q}$   

$$\mathbf{P} \cdot \mathbf{Q} = \mathbf{P}_{ij}\mathbf{Q}_{ij} \text{ (summation over indices } i, j\text{)}.$$
11. The dyadic product of two tensors is written as  $\mathbf{P} \otimes \mathbf{Q}$ . The result of this product is a fourth-order tensor whose  $\mathbf{ijkl}^{\text{th}}$  component is given by  $\mathbf{P}_{ij}\mathbf{Q}_{kl}$ .
12. The result of a fourth-order tensor (e.g.  $[\mathbf{L}]$ ) acting on a second order tensor (e.g.  $\mathbf{P}$ ) is denoted by  $[\mathbf{L}][\mathbf{P}]$  and results in a second-order tensor whose  $\mathbf{ij}^{\text{th}}$  component is given by  $\mathbf{L}_{ijkl}\mathbf{P}_{kl}$ .
13. The second-order Identity matrix is denoted by either  $\mathbf{I}$ , or the Kronecker delta ( $\delta_{ij}$ ). ( $\delta_{ij} = 1$  if  $i = j$ ,  $\delta_{ij} = 0$  otherwise).
14. The symmetric fourth-order Identity tensor is denoted by:

$$\mathfrak{I} = \frac{1}{2}(\delta_{ik}\delta_{jl} + \delta_{jk}\delta_{il})$$

## VITA

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